

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2550/April 16, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16473

In the Matter of

HAIDER ZAFAR

ORDER

The hearing is currently scheduled for May 4, 2015. The Division of Enforcement yesterday moved to convert that hearing to a telephonic prehearing conference under 17 C.F.R. § 201.221, also representing that Respondent's counsel accepted service of the Order Instituting Proceedings (OIP) on his behalf on April 13, 2015.

I find that Respondent was served with the OIP consistent with 17 C.F.R. § 201.141(a)(2)(i) on April 13, 2015, making his Answer due by May 6, 2015. OIP at 3; 17 C.F.R. §§ 201.160, .220. Respondent may be deemed in default for failure to timely file an Answer or to otherwise defend this proceeding. OIP at 3; 17 C.F.R. §§ 201.155(a), .220(f), .221(f).

The Division's motion is GRANTED IN PART, the hearing is postponed, and the parties are ORDERED to hold an initial prehearing conference without the hearing officer by May 8, 2015, to discuss each numbered item in 17 C.F.R. § 201.221(c), including the date by which each item will be accomplished. By May 14, 2015, the parties shall file a joint prehearing conference statement, which addresses each numbered item in 17 C.F.R. § 201.221(c), and includes proposed due dates where applicable.¹ Based on this prehearing conference statement, a subsequent prehearing conference with the hearing officer shall be scheduled if appropriate.

The parties are also asked to email alj@sec.gov courtesy copies of any filings in this proceeding.

Jason S. Patil
Administrative Law Judge

¹ The parties may denote that an item is "not applicable" in their filing.