	Case 5:08-cv-01350-VAP-OP	Document 73 Filed 04/21/10 Page 1 of 7
1 2 3 4 5 6 7 8	Karen L. Martinez (Utah martinezk@sec.gov Thomas M. Melton (Utah S meltont@sec.gov Jennifer Moore (New York mooreje@sec.gov Paul Feindt (Utah Bar No feindtp@sec.gov Attorneys for Plaintiff Securities and Exchange 15 West South Temple Str Salt Lake City, Utah 841 Telephone: (801) 524-57 Facsimile: (801) 524-52	tate Bar No. 4999) O Bar No. 3054301) . 8796)
9 10 11 12	Local Counsel: Karen Matteson (Cal. Bar No. 102103) Securities and Exchange Commission 5670 Wilshire Boulevard, 11th Floor Los Angeles, CA 90036-3648 Telephone: (323) 965-3840 Facsimile: (323) 965-3908	
13	UNITED STATES DISTRICT COURT	
	FOR THE CENTRAL DISTRICT OF CALIFORNIA	
14	EASTERN DIVISION	
14 15	EAST	ERN DIVISION
14 15 16 17	EAST SECURITIES AND EXCHANGE COMMISSION,	Case No.: EDCV08-1350 VAP (OPx)
15 16	SECURITIES AND EXCHANGE	Case No.:
15 16 17 18 19 20 21 22	SECURITIES AND EXCHANGE COMMISSION, Plaintiff,	Case No.: EDCV08-1350 VAP (OPx) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF
15 16 17 18 19 20 21	SECURITIES AND EXCHANGE COMMISSION, Plaintiff, vs. KEDERIO AINSWORTH, GUILLERMO HARO, JESUS GUTIERREZ, GABRIEL	Case No.: EDCV08-1350 VAP (OPx) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF
15 16 17 18 19 20 21 22 23	SECURITIES AND EXCHANGE COMMISSION, Plaintiff, vs. KEDERIO AINSWORTH, GUILLERMO HARO, JESUS GUTIERREZ, GABRIEL PAREDES and ANGEL ROMO,	Case No.: EDCV08-1350 VAP (OPx) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF
15 16 17 18 19 20 21 22 23 24	SECURITIES AND EXCHANGE COMMISSION, Plaintiff, vs. KEDERIO AINSWORTH, GUILLERMO HARO, JESUS GUTIERREZ, GABRIEL PAREDES and ANGEL ROMO, Defendants.	Case No.: EDCV08-1350 VAP (OPx) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF
15 16 17 18 19 20 21 22 23 24 25	SECURITIES AND EXCHANGE COMMISSION, Plaintiff, vs. KEDERIO AINSWORTH, GUILLERMO HARO, JESUS GUTIERREZ, GABRIEL PAREDES and ANGEL ROMO, Defendants. The Securities and E	Case No.: EDCV08-1350 VAP (OPx) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF AGAINST ANGEL ROMO
15 16 17 18 19 20 21 22 23 24 25 26 27	SECURITIES AND EXCHANGE COMMISSION, Plaintiff, vs. KEDERIO AINSWORTH, GUILLERMO HARO, JESUS GUTIERREZ, GABRIEL PAREDES and ANGEL ROMO, Defendants. The Securities and E "Commission") filed a Com	Case No.: EDCV08-1350 VAP (OPx) FINAL JUDGMENT OF PERMANENT INJUNCTION AND OTHER RELIEF AGAINST ANGEL ROMO

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October 3, 2008. Romo, through counsel, filed an Answer to the Complaint on December 2, 2008 (Docket #17). On or about April 21, 2009, the parties participated in an early settlement conference with Attorney Settlement Officer Robert H. Logan in Riverside, California (Docket #s 26 and 29). Pursuant to the Court's Civil Trial Scheduling Order (Docket # 36), the parties participated in a second settlement conference with Attorney Settlement Officer Robert H. Logan (Docket # 41). Romo consented to entry of this Final Judgment on January 30, 2010, without admitting or denying the allegations of the Complaint (except as to personal and subject matter jurisdiction, which Romo Based on the foregoing, the Court orders as admits). follows:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of

1 this Final Judgment by personal service or otherwise 2 are permanently restrained and enjoined from violating, 3 directly or indirectly, Section 10(b) of the Securities 4 5 Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 6 78j(b)] and Rule 10b-5 promulgated thereunder 7 8 [17 C.F.R. § 240.10b-5], by using any means or 9 instrumentality of interstate commerce, or of the 10 mails, or of any facility of any national securities 11 12 exchange, in connection with the purchase or sale of 13 any security: 14 (a) to employ any device, scheme, or artifice to 15 16 defraud; 17 (b) to make any untrue statement of a material fact 18 19 or to omit to state a material fact necessary in 20 order to make the statements made, in the light of 21 22 the circumstances under which they were made, not 23 misleading; or 24 (c) to engage in any act, practice, or course of 25 26 business which operates or would operate as a fraud 27 or deceit upon any person.

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II.

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2 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED 3 that Defendant and Defendant's agents, servants, 4 5 employees, attorneys, and all persons in active concert 6 or participation with them who receive actual notice of 7 8 this Final Judgment by personal service or otherwise 9 are permanently restrained and enjoined from violating 10 Section 17(a) of the Securities Act [15 U.S.C. § 11 12 77q(a)] in the offer or sale of any security by the use 13 of any means or instruments of transportation or 14 15 communication in interstate commerce or by use of the 16 mails, directly or indirectly: 17 (a) to employ any device, scheme, or artifice to 18 19 defraud; 20 (b) to obtain money or property by means of any 21 22 untrue statement of a material fact or any omission 23 of a material fact necessary in order to make the 24 statements made, in light of the circumstances 25 26 under which they were made, not misleading; or 27 28

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(c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

III.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant, and Defendant's agents, servants, employees, attorneys and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 17(a) of the Exchange Act [15 U.S.C. § 78q] and Rules 17a-3(a)(6) and 17a-3(a)(17) [17 C.F.R. §§ 240.17a-3(a)(6) and 17a-3(a)(17)] promulgated thereunder by aiding or abetting in the failure to create and/or maintain accurate customer account records and/or order tickets.

IV.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$1,118 and a civil penalty in the amount of \$1,118 pursuant to

Section 20(d) of the Securities Act and Section 21(d) of the Exchange Act, for a total of \$2,236. Defendant shall satisfy this obligation by paying \$2,236 within 14 days after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange The payment shall be delivered or mailed Commission. to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter identifying Angel Romo as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Defendant shall pay post-judgment interest on any delinguent amounts pursuant to 28 U.S.C. § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

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v.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all the undertakings and agreements set forth therein.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

Dated: April 21, 2010

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Honorable Virginia A. Phillips United States District Judge

Presented by:

/s/ Karen L. Martinez

²⁶ Karen L. Martinez
²⁷ Thomas M. Melton
Attorneys for Plaintiff
²⁸ Securities and Exchange Commission