

Additions: Underscored
Deleted: [Bracketed]

NYSE Amex Equities Rules

Rule 452 - NYSE Amex Equities. Giving Proxies by Member Organization

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••• *Supplementary Material:*

Giving a Proxy To Vote Stock

.10 No change.

.11 **When member organization may not vote without customer instructions.**—In the list of meetings of stockholders appearing in the Weekly Bulletin, after proxy material has been reviewed by the Exchange, each meeting will be designated by an appropriate symbol to indicate either (a) that members may vote a proxy without instructions of beneficial owners, (b) that members may not vote specific matters on the proxy, or (c) that members may not vote the entire proxy.

Generally speaking, a member organization may not give a proxy to vote without instructions from beneficial owners when the matter to be voted upon:

(1) – (18) No change.

(19) is the election of directors, provided, however, that this prohibition shall not apply in the case of a company registered under the Investment Company Act of 1940; or

Commentary to Item 19 – This item will be applicable to proxy voting for shareholder meetings held on or after January 1, 2010 [{insert effective date}], except to the extent that a meeting was originally scheduled to be held prior to such effective date but was properly adjourned to a date on or after such effective date.

(20) No change.

.12 – .17 No change.

.20 No change.

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NYSE Amex LLC Company Guide

Sec. 723. GIVING PROXIES BY MEMBER ORGANIZATION (SEE EXCHANGE RULE 452 – NYSE Amex Equities)

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••• *Commentary* -----

Giving a Proxy to Vote Stock

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