

EXHIBIT 5

Additions underscored

Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: October 1, 2013

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MARKET MAKER MONTHLY POSTING CREDIT SUPER TIER AND QUALIFICATIONS FOR EXECUTIONS IN PENNY PILOT ISSUES AND SPY⁸

Tier	Qualification Basis (Average Electronic Executions Per Day)		Credit Applied to Posted Electronic Market Maker Executions in Penny Pilot Issues (except SPY)	Credit Applied to Posted Electronic Market Maker Executions in SPY
Base			[(<u>\$0.32</u>)] (<u>\$0.28</u>)	[(<u>\$0.32</u>)] (<u>\$0.28</u>)
<u>Select Tier</u>	<u>30,000 Contracts from Market Maker Posted Orders in All Issues</u>		<u>(\$0.32)</u>	<u>(\$0.32)</u>
Super Tier	80,000 Contracts from Market Maker Posted Orders in All Issues, or	200,000 Contracts Combined from all orders in Penny Pilot Issues, all account types, with at least 100,000 Contracts from Posted Orders in Penny Pilot Issues*	(\$0.37)	(\$0.39)
	*Includes transaction volume from the Market Maker's affiliates.			

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8. The calculations for qualifications for monthly posting credits only include electronic executions, excluding Mini options contracts. Customer equity and ETF option ADV

does not include Electronic Complex Order Executions or Mini options contracts executions. QCC orders are neither posted nor taken; thus QCC transactions are not included in the calculation of posted or taken execution volumes. Orders routed to another market for execution are not included in the calculation of taking volume. Total Industry Customer equity and ETF option average daily volume includes OCC calculated Customer volume of all types, including Complex Order Transactions, QCC transactions, and mini options transactions, in equity and ETF options. An affiliate of an OTP Holder or OTP Firm is as defined in NYSE Arca Rule 1.1(a). For purposes of calculating the executed Average Daily Volume (“ADV”) of Retail Orders of U.S. Equity Market Share on the NYSE Arca Equity Market, a Retail Order must qualify for the Retail Order Tier set forth in the Schedule of Fees and Charges for NYSE Arca Equities, Inc.

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