## II. Complex Order Fees and Rebates for Standard Options

**Taker and other Fees** 

Rebates							
Market Participant	Rebate for Select Symbols (excluding SPY) <sup>(1)</sup>	Rebate for SPY <sup>(1)</sup>	Rebate for Non-Select Symbols <sup>(1)</sup>	Rebate for Priority Customer orders that trade with quotes and orders on the regular orderbook (excluding SPY)	Rebate for Priority Customer orders that trade with quotes and orders on the regular orderbook In SPY	PIM Break- up Rebate for Select Symbols <sup>(2)</sup>	Facilitation and Solicitation Break-up Rebate for Select Symbols <sup>(2)</sup>
Market Maker	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Non-ISE Market Maker (FarMM)	N/A	N/A	N/A	N/A	N/A	(\$0.25)	(\$0.15)
Firm Proprietary / Broker-Dealer	N/A	N/A	N/A	N/A	N/A	(\$0.25)	(\$0.15)
Professional Customer	N/A	N/A	N/A	N/A	N/A	(\$0.25)	(\$0.15)
Priority Customer Complex ADV 0-39,999 <sup>(7)</sup>	(\$0.33)	(\$0.36)	(\$0.66)	(\$0.06)	(\$0.07)	(\$0.25)	(\$0.15)
Priority Customer Complex ADV 40,000-74,999 <sup>(7)</sup>	(\$0.35)	(\$0.38)	(\$0.72)	(\$0.[08] <u>12</u> )	(\$0.[09] <u>13</u> )	(\$0.25)	(\$0.15)
Priority Customer Complex ADV 75,000-124,999 <sup>(7)</sup>	(\$0.37)	(\$0.39)	(\$0.75)	(\$0.[09] <u>13</u> )	(\$0.[10] <u>14</u> )	(\$0.25)	(\$0.15)
Priority Customer Complex ADV 125,000-224,999 <sup>(7)</sup>	(\$0.39)	(\$0.40)	(\$0.77)	(\$0.[10] <u>17</u> )	(\$0.[11] <u>18</u> )	(\$0.25)	(\$0.15)
Priority Customer Complex ADV 225,000+ <sup>(7)</sup> Incremental Priority Customer Complex ADV above	(\$0.40)	(\$0.41)	(\$0.78)	(\$0.[11] <u>18</u> )	(\$0.[12] <u>19</u> )	(\$0.25)	(\$0.15)
225,000 <sup>(8)</sup>	(\$0.01)	(\$0.01)	(\$0.01)	(\$0.00)	(\$0.00)	(\$0.00)	(\$0.00)
Maker Fees							, i
Market Participant	Maker Fee for Select Symbols <sup>(3)(4)</sup>	Maker Fee for Non- Select Symbols	Maker Fee for Select Symbols when trading against Priority Customer (excluding SPY) <sup>(4)(5)</sup>	Maker Fee for SPY when trading against Priority Customer <sup>(5)</sup>	Maker Fee for non- Select Symbols when trading against Priority Customer <sup>(5)</sup>		
Market Maker	\$0.10	\$0.10	\$0.39	\$0.39	\$0.82		
Non-ISE Market Maker (FarMM)	\$0.20	\$0.20	\$0.40	\$0.41	\$0.84		
Firm Proprietary / Broker-Dealer	\$0.10	\$0.10	\$0.40	\$0.41	\$0.84		
Professional Customer	\$0.10	\$0.10	\$0.40	\$0.41	\$0.84		
Priority Customer	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		

Market Participant	Taker Fee for Select Symbols (excluding SPY) <sup>(4)(5)</sup>	Taker Fee for SPY <sup>(5)</sup>	Taker Fee for Non-Select Symbols <sup>(5)</sup>	Fee for Crossing Orders (largest leg only) <sup>(6)</sup>	Fee for Responses to Crossing Orders for Select Symbols	Fee for Responses to Crossing Orders for non-Select Symbols
Market Maker	\$0.39	\$0.39	\$0.82	\$0.20	\$0.40	\$0.82
Non-ISE Market Maker (FarMM)	\$0.40	\$0.41	\$0.84	\$0.20	\$0.40	\$0.84
Firm Proprietary / Broker-Dealer	\$0.40	\$0.41	\$0.84	\$0.20	\$0.40	\$0.84
Professional Customer	\$0.40	\$0.41	\$0.84	\$0.20	\$0.40	\$0.84
Priority Customer	\$0.00	\$0.00	\$0.00	\$0.00	\$0.40	\$0.00

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## IV. Complex Order Fees and Rebates for Mini Options

Rebates							
				Rebate for	Rebate for		Facilitation
				Priority	Priority		and
	Rebate for			Customer	Customer		Solicitation
	Select			orders that	orders that	PIM Break-	Break-up
	Symbols		Rebate for	trade with	trade with	up Rebate	Rebate for
	(excluding	Rebate for	Non-Select	quotes and	quotes and	for Select	Select
Market Participant	SPY) <sup>(1)</sup>	SPY <sup>(1)</sup>	Symbols <sup>(1)</sup>	orders on the	orders on	Symbols <sup>(2)</sup>	Symbols <sup>(2)</sup>

				regular	the regular		
				orderbook	orderbook		
				(excluding SPY)	In SPY		
Market Maker	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Non-ISE Market Maker (FarMM)	N/A	N/A	N/A	N/A	N/A	, (\$0.025)	, (\$0.015)
Firm Proprietary / Broker-Dealer	N/A	N/A	N/A	N/A	N/A	(\$0.025)	(\$0.015)
Professional Customer	N/A	N/A	N/A	N/A	N/A	(\$0.025)	(\$0.015)
Priority Customer Complex ADV 0-39,999 <sup>(5)</sup>	(\$0.033)	(\$0.036)	(\$0.066)	(\$0.006)	(\$0.007)	(\$0.025)	(\$0.015)
Priority Customer Complex ADV 40,000-74,999 <sup>(5)</sup>	(\$0.035)	(\$0.038)	(\$0.072)	(\$0.00[8] <u>12</u> )	(\$0.00[9] <u>13</u> )	(\$0.025)	(\$0.015)
Priority Customer Complex ADV 75,000-124,999 <sup>(5)</sup>	(\$0.037)	(\$0.039)	(\$0.075)	(\$0.00[9] <u>13</u> )	(\$0.0[10] <u>14</u> )	(\$0.025)	(\$0.015)
Priority Customer Complex ADV 125,000-224,999 <sup>(5)</sup>	(\$0.039)	(\$0.040)	(\$0.077)	(\$0.0[10] <u>17</u> )	(\$0.0[11] <u>18</u> )	(\$0.025)	(\$0.015)
Priority Customer Complex ADV 225,000+ <sup>(5)</sup> Incremental Priority Customer Complex ADV above	(\$0.040)	(\$0.041)	(\$0.078)	(\$0.0[11] <u>18</u> )	(\$0.0[12] <u>19</u> )	(\$0.025)	(\$0.015)
225,000 <sup>(6)</sup>	(\$0.001)	(\$0.001)	(\$0.001)	(\$0.000)	(\$0.000)	(\$0.000)	(\$0.000)
223,000	(50.001)	(\$0.001)	(\$0.001)	(\$0.000)	(50.000)	(50.000)	(\$0.000)
Maker Fees							
			Maker Fee				
			for Select		Maker Fee		
			Symbols when		for Non- Select		
			trading		Symbols		
			against	Maker Fee for	when		
			. 0				
		Maker Fee	Priority	SPY when	trading		
	Maker Fee	Maker Fee for Non-	Priority Customer	SPY when trading against	trading against		
	for Select		-		_		
Market Participant	for Select Symbols <sup>(3)</sup>	for Non- Select Symbols	Customer (excluding SPY)	trading against Priority Customer	against Priority Customer		
Market Maker	for Select Symbols <sup>(3)</sup> \$0.010	for Non- Select Symbols \$0.010	Customer (excluding SPY) \$0.039	trading against Priority Customer \$0.039	against Priority Customer \$0.082		
Market Maker Non-ISE Market Maker (FarMM)	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020	for Non- Select Symbols \$0.010 \$0.020	Customer (excluding SPY) \$0.039 \$0.040	trading against Priority Customer \$0.039 \$0.041	against Priority Customer \$0.082 \$0.084		
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010	for Non- Select Symbols \$0.010 \$0.020 \$0.010	Customer (excluding SPY) \$0.039 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041	against Priority Customer \$0.082 \$0.084 \$0.084		
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084		
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010	for Non- Select Symbols \$0.010 \$0.020 \$0.010	Customer (excluding SPY) \$0.039 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041	against Priority Customer \$0.082 \$0.084 \$0.084		
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084		
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084		
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084 \$0.000	Fee for	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040	trading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084 \$0.000	Responses	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000	trading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.000	Responses to Crossing	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select Symbols	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000	rading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.000  Fee for Responses to Crossing Orders for	Responses to Crossing Orders for	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer	for Select Symbols <sup>(3)</sup> \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.010 \$0.000	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000	rading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.000	Responses to Crossing	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer  Taker and other Fees	for Select Symbols (3) \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select Symbols (excluding	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.000	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000	rading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.000  Fee for Responses to Crossing Orders for Select	Responses to Crossing Orders for Non-Select	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer  Taker and other Fees  Market Participant	for Select Symbols (3) \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select Symbols (excluding SPY)	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.000 Taker Fee for SPY	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.000 Taker Fee fo Non-Select Symbols	rading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000  Fee for Crossing Or Orders (largest leg only)(4)	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084 \$0.000  Fee for Responses to Crossing Orders for Select Symbols	Responses to Crossing Orders for Non-Select Symbols	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer  Taker and other Fees  Market Participant Market Maker	for Select Symbols (3) \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select Symbols (excluding SPY) \$0.039	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.000 Taker Fee for SPY \$0.039	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000  Taker Fee for Non-Select Symbols \$0.082	rading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000  Fee for Crossing Orders (largest leg only) \$0.020	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084 \$0.000  Fee for Responses to Crossing Orders for Select Symbols \$0.040	Responses to Crossing Orders for Non-Select Symbols \$0.082	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer  Taker and other Fees  Market Participant  Market Maker Non-ISE Market Maker (FarMM)	for Select Symbols (3) \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select Symbols (excluding SPY) \$0.039 \$0.040	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.000 Taker Fee for SPY \$0.039 \$0.041 \$0.041	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000  Taker Fee for Non-Select Symbols \$0.082 \$0.084 \$0.084	Fee for Crossing Orders (largest leg only) (4) \$0.020 \$0.020	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084 \$0.000  Fee for Responses to Crossing Orders for Select Symbols \$0.040 \$0.040	Responses to Crossing Orders for Non-Select Symbols \$0.082 \$0.084	
Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer Professional Customer Priority Customer  Taker and other Fees  Market Participant  Market Maker Non-ISE Market Maker (FarMM) Firm Proprietary / Broker-Dealer	for Select Symbols (3) \$0.010 \$0.020 \$0.010 \$0.010 \$0.000  Taker Fee for Select Symbols (excluding SPY) \$0.039 \$0.040 \$0.040	for Non- Select Symbols \$0.010 \$0.020 \$0.010 \$0.000 Taker Fee for SPY \$0.039 \$0.041	Customer (excluding SPY) \$0.039 \$0.040 \$0.040 \$0.040 \$0.000  Taker Fee for Non-Select Symbols \$0.082 \$0.084	rading against Priority Customer \$0.039 \$0.041 \$0.041 \$0.041 \$0.000  Fee for Crossing Orders (largest leg only)(4) \$0.020 \$0.020	against Priority Customer \$0.082 \$0.084 \$0.084 \$0.084 \$0.000  Fee for Responses to Crossing Orders for Select Symbols \$0.040 \$0.040 \$0.040	Responses to Crossing Orders for Non-Select Symbols \$0.082 \$0.084 \$0.084	

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