

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 871/September 16, 2013

ADMINISTRATIVE PROCEEDING

File No. 3-15141

In the Matter of

MOHAMMED RIAD and :
KEVIN TIMOTHY SWANSON : ORDER
:

The hearing in this matter was closed on May 8, 2013. The parties have moved that several exhibits, in addition to those previously admitted, be admitted into the record of evidence. Accordingly, the record will be reopened, the following exhibits admitted, and the record closed:

26	Draft 4/23/08 FMO/HCE Board minutes	CLAY021957-70
183	7/18/2007 Draft Minutes of a Joint Meeting of the Audit Committees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)	CLAY021859-63
184	7/18/2007 Draft Minutes of a Joint Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)	CLAY021864-72
185	10/15/2007 Draft Minutes of a Joint Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)	CLAY021873-83
192	7/22/2008 Draft Minutes of a Joint Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO) and Dynamic Equity Fund (HCE)	CLAY020951-65
266	11/11/2008 Draft Minutes of a Special Joint Telephonic Meeting of the Boards of Trustees for the Fiduciary/Claymore MLP Opportunity Fund (FMO)	

and Dynamic Equity Fund (HCE) with handwritten comments

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| 310 | 8/10/2007 Email from Jeffrey Grossman to Matt Patterson regarding ISDA documentation for the HCE Fund | FAM00131906-09 |
| 318 | 10/31/2007 Email from Matt Patterson to Jeffrey Grossman regarding an ISDA agreement for the HCE Fund | CLAY015731-70 |
| 360 | 10/14/2011 Portions of Sean Hughes Investigative Testimony Transcript | |
| 361 | 9/28/2011 Portions of Susan Steiner Investigative Testimony Transcript | |
| 365 | 10/3/2011 Portions of Timothy Swanson Investigative Testimony Transcript | |
| 366 | 10/6-7/2011 Portions of Mohammed Riad Investigative Testimony Transcript | |
| 371 | 7/6/2007 E-mail from Patty Delony to Timothy Swanson regarding HCE final approval and certification | FAM 00021432 |

IT IS SO ORDERED.

Carol Fox Foelak
Administrative Law Judge