

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 3662/March 2, 2016

ADMINISTRATIVE PROCEEDING  
File No. 3-16989

In the Matter of

VINAY KUMAR NEVATIA

ORDER REGARDING  
SUPPLEMENTAL BRIEFING

On December 8, 2015, the Securities and Exchange Commission issued an order instituting proceedings (OIP) against Respondent Vinay Kumar Nevatia pursuant to Securities Exchange Act of 1934 Section 15(b).

I previously found that Nevatia was served with the OIP on December 11, 2015. *Vinay Kumar Nevatia*, Admin. Proc. Rulings Release No. 3458, 2016 SEC LEXIS 8 (ALJ Jan. 4, 2016). On January 4, 2016, I ordered Nevatia to show cause why this proceeding should not be determined against him due to his failure to file an answer or otherwise defend the proceeding. *Id.* I further ordered that if Nevatia failed to show cause, the Division of Enforcement should file a motion for sanctions by January 29, 2016, providing legal authority and evidentiary support relating to the OIP's allegations and the Division's requested sanctions, in accordance with *Gary L. McDuff*, Exchange Act Release No. 74803, 2015 SEC LEXIS 1657 (Apr. 23, 2015). *Id.* On January 29, 2016, the Division submitted a motion for remedial relief and six exhibits.

On February 1, 2016, I ordered the Division to provide supplemental briefing on the issues of: (1) whether Nevatia is associated, is seeking to become associated, or, at the time of the alleged misconduct, was associated or was seeking to become associated with a broker or dealer, and (2) the six public interest factors laid out in *Steadman v. SEC*, 603 F.2d 1126, 1140 (5th Cir. 1979), *aff'd on other grounds*, 450 U.S. 91 (1981). *Vinay Kumar Nevatia*, Admin. Proc. Rulings Release No. 3565, 2016 SEC LEXIS 347.

It has been four weeks since that order was issued and the Division has not yet submitted any supplemental briefing.

I ORDER that the supplemental briefing will be due no later than March 11, 2016.

---

Cameron Elliot  
Administrative Law Judge