

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2252/January 23, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16142

In the Matter of

JOHN JORDAN

ORDER FOLLOWING PREHEARING
CONFERENCE

A prehearing conference in this matter was held today, attended by counsel for the Respondent and for the Division of Enforcement. The parties discussed the possibility of stipulating to the issue of Respondent's liability and then engaging in either briefing or a hearing on the sole remaining issue of sanctions. In the event of a hearing, the Division does not anticipate calling any witnesses or having a presentation longer than thirty minutes. Because Respondent is currently in transit between prison facilities, Respondent's counsel is unable to obtain his consent on the stipulation proposal, or confirm whether his presentation at a hearing would involve calling witnesses.

Accordingly, it is ORDERED that by February 6, 2015, counsel for Respondent shall inform this Office whether Respondent consents to stipulating as to liability and whether Respondent plans to call witnesses in the event of a hearing.

James E. Grimes
Administrative Law Judge