

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 1909/October 15, 2014

ADMINISTRATIVE PROCEEDING

File No. 3-16134

In the Matter of

JOHN ALLAN RUSSELL

ORDER FOLLOWING PREHEARING  
CONFERENCE

On September 17, 2014, the Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) against Respondent pursuant to Section 203(f) of the Investment Advisers Act of 1940.

A telephonic prehearing conference (PHC) was held on October 14, 2014, attended by Nancy K. Ferguson for the Division of Enforcement and Russell, who appeared *pro se*. At the PHC, I deemed that Respondent was served with the OIP on October 14, 2014, and that his Answer is due on Monday, November 3, 2014.

The parties agreed to the following briefing schedule for motions for summary disposition:

December 5, 2014: Motions for summary disposition are due;

December 19, 2014: Oppositions are due; and

January 9, 2015: Replies, if any, are due.

SO ORDERED.

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Cameron Elliot  
Administrative Law Judge