

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1076 / November 29, 2013

ADMINISTRATIVE PROCEEDING  
File No. 3-15382

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In the Matter of :  
: NOTICE OF STATUS OF RELATED  
STEVEN A. COHEN : PROCEEDINGS

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On July 19, 2013, the Securities and Exchange Commission initiated this proceeding by issuing a Corrected Order Instituting Administrative Proceedings Pursuant to Section 203(f) of the Investment Advisers Act of 1940 (OIP). The OIP alleges that Steven A. Cohen (Cohen) failed reasonably to supervise Mathew Martoma and Michael Steinberg, who allegedly violated Section 10(b) and Rule 10b-5 of the Securities Exchange Act of 1934, while they were employed by wholly owned subsidiaries of S.A.C. Capital Advisors, LLC, an unregistered investment adviser succeeded in 2008 by S.A.C. Capital Advisors, L.P., which Cohen founded, owns, and controls.

On August 8, 2013, I stayed this administrative proceeding pending resolution of United States v. Martoma, 12 Cr. 973 (S.D.N.Y.), United States v. Steinberg, 12 Cr. 121 (S.D.N.Y.), and United States v. S.A.C. Capital Advisors, L.P., 13 Cr. 541 (S.D.N.Y.). See Steven A. Cohen, Admin. Proc. Rulings Release No. 785 (Aug. 8, 2013). In the August 8, 2013, Order, I directed the U.S. Attorney to inform me by November 29, 2013, and every ninety days thereafter, of the status of the three related criminal proceedings. In a letter dated November 27, 2013, the United States Attorney for the Southern District of New York, informed me that all three criminal proceedings are ongoing, noting: “The *Steinberg* criminal case commenced trial on November 19, 2013, and trial remains underway. Trial will begin in the *Martoma* criminal case on January 6, 2014. The case against *S.A.C. Capital Advisors, L.P.* also remains open.”

Based on the information provided, the stayed status of this administrative proceeding remains unchanged.

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Brenda P. Murray  
Chief Administrative Law Judge