

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION  
November 14, 2018

SECURITIES EXCHANGE ACT OF 1934  
Release No. 84597 / November 14, 2018

Admin. Proc. File No. 3-18869

In the Matter of the Application of  
TMR BAYHEAD SECURITIES LLC  
For Review of Action Taken by  
FINRA

ORDER  
SCHEDULING  
BRIEFS

TMR Bayhead Securities LLC filed an application, pursuant to Section 19(d)(2) of the Securities Exchange Act of 1934,<sup>1</sup> for review of action taken against it by the Financial Industry Regulatory Authority ("FINRA"). FINRA filed a copy of the index to the record on October 24, 2018, pursuant to Rule 420(e) of the Rules of Practice.<sup>2</sup>

Accordingly, IT IS ORDERED, pursuant to Rule 450(a) of the Rules of Practice,<sup>3</sup> that a brief in support of the application for review shall be filed by December 14, 2018. A brief in

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<sup>1</sup> 15 U.S.C. § 78s(d)(2).

<sup>2</sup> 17 C.F.R. § 201.420(e).

<sup>3</sup> 17 C.F.R. § 201.450(a).

opposition shall be filed by January 16, 2019, and any reply brief shall be filed by January 30, 2019.<sup>4</sup> Pursuant to Rule 180(c) of the Rules of Practice, failure to file a brief in support of the application may result in dismissal of this review proceeding.<sup>5</sup>

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary

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<sup>4</sup> As provided by Rule 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules of Practice 150 - 153, 17 C.F.R. § 201.150 - 153, with respect to form and service, and Rule of Practice 450(b) and (c), 17 C.F.R. § 201.450(b) and (c), with respect to content and length limitations. Requests for extensions of time to file briefs will be disfavored.

<sup>5</sup> 17 C.F.R. § 201.180(c).