Madison, Wilton

18-00607-FOTA

From:

Request@ip-10-170-24-233.ec2.internal

Sent:

Monday, December 11, 2017 9:22 PM

To:

foiapa

Subject:

Request for Document from Nguyen, Judy

Judy Nguyen 140 Scott Drive Menlo Park, California 94025 United States

650-463-2673

<u>judy.nguyen@lw.com</u> Latham & Watkins LLP RECEIVED

DEC 1 2 2017

Office of FOIA Services

Request:

COMP_NAME: RP Capital LLC DOC_DATE: 2010 to present CIK_NUM: 0001320650

TYPE: ADV (Investment Adviser Applications and Amendments)

COMMENTS: Any Form ADV filings, including any Form ADV Parts 1A, 1B, 2A, and 2B

FEE_AUTHORIZED: Willing to Pay \$61

FEE_WAIVER_REQUESTED: No

EXPEDITED_SERVICE_REQUESTED: No



UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

December 21, 2017

Ms. Judy Nguyen Latham & Watkins LLP 140 Scott Drive Menlo Park, CA 94025

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552

Request No. 18-00607-FOIA

Dear Ms. Nguyen:

This letter is in response to your request, dated and received in this office on December 12, 2017, for "any ADV filings, including Form ADV Parts 1A, 1B, 2A and 2B" concerning RP Capital, LLC, from 2010 to present.

According to the SEC computerized records, RP Capital, LLC was registered as a broker dealer with the SEC (SEC File No. 8-66862). The company's registration was withdrawn, effective February 20, 2017. Consequently, we did not locate or identify any information responsive to your request.

If you still have reason to believe that the SEC maintains the type of information you seek, please provide us with additional information, which could prompt another search. Otherwise, we conclude that no responsive information exists and we consider this request to be closed.

You have the right to appeal the adequacy of our search or finding of no responsive information to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(d)(5)(iv). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address. Also, send a copy to the SEC Office of the General Counsel, Mail Stop 9612, or deliver it to Room 1120 at the Station Place address.

Ms. Judy Nguyen December 21, 2017 Page 2

If you have any questions, please contact me at morrowa@sec.gov or (202) 551-8376. You may also contact me at foiapa@sec.gov or (202) 551-7900. You also have the right to seek assistance from Jeffery Ovall at (202) 551-7900 as a FOIA Public Liaison for this office, or contact the Office of Government Information Services (OGIS) for dispute resolution services. OGIS can be reached at 1-877-684-6448 or Archives.gov or via e-mail at ogis@nara.gov.

Sincerely,

Alysia Morrow

FOIA Research Specialist