

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1875/October 1, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16057

In the Matter of

JOHN THOMAS PAPPAS

:
:

POSTPONEMENT ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on September 5, 2014, pursuant to Section 203(f) of the Investment Advisers Act of 1940, against John Thomas Pappas (Pappas), and the hearing was scheduled to commence on October 6, 2014. The proceeding is a follow-on proceeding based on a final order of the Alabama Securities Commission barring Pappas from the securities industry into, within, or from the State of Alabama.

Pappas was served with the OIP by personal service in accordance with 17 C.F.R. § 201.141(a)(2)(i) on September 10, 2014. To allow time for his Answer,¹ consistent with 17 C.F.R. § 201.161, the hearing will be postponed *sine die*, and a prehearing conference will be held by telephone on October 21, 2014, at 10:00 a.m. EDT if the proceeding has not been resolved by then.²

IT IS SO ORDERED.

/S/ Carol Fox Foelak

Carol Fox Foelak

Administrative Law Judge

¹ Pappas's Answer is due within twenty days of service on him. See OIP at 2; 17 C.F.R. § 201.220(b). If he fails to file an Answer within the time provided, he will be deemed to be in default, and the undersigned will enter an order barring him from the securities industry. See OIP at 2; 17 C.F.R. §§ 201.155(a)(2), .220(f).

² The Division of Enforcement has requested that the hearing be postponed and a prehearing conference scheduled.