

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 94460 / March 18, 2022

WHISTLEBLOWER AWARD
PROCEEDING File No. 2022-43

In the Matter of the Claim for an Award

in connection with

Redacted

Notice of Covered Action Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that Redacted (“Claimant”) receive a whistleblower award of over \$400,000, which represents *** percent (*** %) of monetary sanctions collected in the above-referenced Covered Action (the “Covered Action”). The recommendation of the CRS is adopted.

The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action pursuant to Section 21F(b)(1) of the Exchange Act and Rule 21F-3(a).¹

Redacted

¹ See Securities Exchange Act of 1934 (“Exchange Act”) Section 21F(b)(1), 15 U.S.C. §78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. §240.21F-3(a).

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The record reflects that Claimant internally reported his/her concerns, causing the company to cease the conduct, that Claimant submitted a detailed tip that prompted the opening of the investigation, that Claimant met with the Enforcement staff and provided supplemental information and continuing assistance throughout the investigation, and that the charges in the Covered Action bear a close nexus to the Claimant's allegations.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of *** percent (***%) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary

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