



## FACSIMILE COVER SHEET

**TO: SEC**  
**PHONE:**  
**FAX: 12027729295**

**FROM: Merrill Lynch**  
**SENDER: Peter R Smith**  
**DATE: 11/18/21**  
**PHONE: 9146725053**  
**FAX: 12036484174**

**No. of pages (including this page): 7**

**Subject: Rule 144 Forms**

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### Comments:

Attached.

**Peter Smith, CFA, CRPC®**

Assistant Vice President

Private Wealth Senior Investment Manager

**Vacheron DiSabato & Associates**

Private Wealth Management

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NMLS ID: 1315501

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***Named to Forbes Top 200 Wealth Advisors 2016-19, Financial Times Top 400 Advisors 2016-2018 and Barron's Top 1,200 Financial Advisors from 2009-2019***

Source: The Financial Times *Top 400 Financial Advisors* (FT 400) is an independent listing produced by the Financial Times (March, 2017). For more information about the selection criteria, go to FT 400 Top Financial Advisors page.. "Source: Forbes' "America's Top Wealth Advisors" list, February 2017. For more information about the selection criteria, go to [www.SHOCKresearch.com](http://www.SHOCKresearch.com). Forbes is a trademark of Forbes Media LLC. All rights reserved. "Source: Barron's "Top 1,200 Financial Advisors" list, March 12, 2018. For more information about the selection criteria, go to <http://details-here.M6jw7>. Barron's is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from Barron's are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results and such rankings should not be construed as an endorsement of the advisor.



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DATE: 11/18/21

To: Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated

Thomas Vacheron  
1499 Post Rd Ste 2  
Fairfield, CT 06824

In connection with the sale by me of 100,000 shares of common stock of "III" (the "Company"), pursuant to Rule 144 of the Securities Act of 1933, I hereby represent to you that:

1. I am not in possession or aware of any material nonpublic information concerning the business, operations or prospects of the Company. If I adopted a written trading plan or gave trading instructions to satisfy Rule 10b5-1 under the Securities Exchange Act of 1934, as amended, by signing the representation letter below and indicating the date that the plan was adopted or the instruction given, I am making this representation as of the plan adoption or instruction date. Date of plan adoption date or giving of instruction, if relying on Rule 10b5-1: N/A.
2. I have not made, and will not make, any payment in connection with the execution of the above order to any persons other than Merrill Lynch, Pierce, Fenner & Smith Incorporated ("Merrill Lynch").
3. I have not solicited or arranged for the solicitation of orders to buy in anticipation of or in connection with this transaction.
4. I have sold 0 shares of the Company within the preceding three (3) months and I have no sale orders open with any broker, and will not place any pending execution or cancellation of this order.
5. To the best of my knowledge, members of my immediate family and others with whom I am acting in concert or whose sales are required to be aggregated with sales by me pursuant to Rule 144 have sold 0 shares of the Company stock within the preceding three (3) months.
6. In the event that any or all of the securities I am selling are restricted securities as defined in paragraph (a)(3) of Rule 144, I warrant that I have held these securities for a period of at least six (6) months as computed in accordance with paragraph (d) of Rule 144.
7. The securities I am selling are not securities initially issued by an issuer defined in paragraph (i) of Rule 144.
8. In the event that any or all of the securities I am selling are restricted securities as defined in paragraph (a)(3) of Rule 144, I am aware that payment of the proceeds of the sale is subject to the shares being transferred and delivered free of restriction into Merrill Lynch's name and that the transfer of the shares may be delayed if the certificate(s) bear a restrictive legend. I hereby indemnify Merrill Lynch for any loss it may incur as a result of any such delay.
9. Enclosed is an executed copy of Form 144, three copies of which were transmitted to the Securities and Exchange Commission and (where applicable) one copy of which has been sent to the NASDAQ Stock Exchange on 11/18/2021. (I understand that no form need to be filed if the amount of securities to be sold during any three (3) month period does not exceed 5,000 shares or the aggregate sale price does not exceed \$50,000.)

I am familiar with Rule 144 under the Securities Act of 1933, as amended, and agree that you may rely upon the above statement in executing the order referred above.

Very truly yours,

Neil Budnick

(Client Signature)

Neil Budnick  
718 Penns Ridge  
New Canaan CT 06840

(Name and Address)

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 144**

**NOTICE OF PROPOSED SALE OF SECURITIES  
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933**

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print)		1 (b) IRS IDENT. NO.		1 (c) S.E.C. FILE NO.	
Information Services Group		20-5261587		001-33287	
1 (d) ADDRESS OF ISSUER		CITY		STATE	
2187 Atlantic Street		Stanford		CT 06902	
2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD		RELATIONSHIP TO ISSUER		1 (c) ADDRESS STREET	
Neil Budnick		Board		718 Penns Ridge, New Canaan, CT 06840	
		CITY		STATE	
				ZIP CODE	
		AREA CODE		TELEPHONE NO.	
		203		517 3100	
WORK LOCATION					
CUSIP NUMBER					
DOCUMENT SEQUENCE NO.					
SEC USE ONLY					

**INSTRUCTION:** The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a) Title of the Class of Securities To Be Sold	3 (b) Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	SEC USE ONLY		3 (c) Number of Shares or Other Units To Be Sold (See instr. 3(i))	3 (d) Aggregate Market Value (See instr. 3(d))	3 (e) Number of Shares or Other Units Outstanding (See instr. 3(e))	3 (f) Approximate Date of Sale (MO., DAY, YR.) (See instr. 3(f))	3 (g) Name of Each Securities Exchange
Common Stock	Merrill / c/o Thomas Vachon 1499 Post Road Ste 2 Fairfield CT 06424	Broker-Dealer File Number		100,000	\$909,000	48,992,958	11/18/21	NASDAQ

**INSTRUCTIONS:**

- Name of issuer
  - Issuer's I.R.S. Identification Number
  - Issuer's S.E.C. file number, if any
  - Issuer's address, including zip code
  - Issuer's telephone number, including area code
- Name of person for whose account the securities are to be sold
  - Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - Such person's address, including zip code
- Title of the class of securities to be sold
  - Name and address of each broker through whom the securities are intended to be sold
  - Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - Approximate date on which the securities are to be sold
  - Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If sold, also give date when acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common Stock	multiple see attached	RSVS	Acquired by Neil B. Bunch	18,334 10,654 9,140 8,667 9,548 9,549 10,659 11,667	6/6/14 12/15/17 12/15/17 12/17/18 12/15/17 12/15/18 12/16/14	RSVS

## INSTRUCTIONS:

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
N/A	N/A	N/A	0	0

## REMARKS:

Not applicable

## INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (c) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

## ATTENTION: The person for whose account the securities to which this notice relates are to be sold

hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

DATE OF NOTICE

11/18/21

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION

IF RELYING ON RULE 10b5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

(SIGNATURE)

Neil B. Bunch

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

Description / SYM	Date Acquired	Quantity
INFORMATION SVS GROUP / III	06/06/2014	18,334
	12/15/2017	10,659
	12/15/2017	9,190
	12/12/2018	8,607
	12/13/2017	9,548
	12/13/2018	9,549
	12/15/2018	10,659
	12/16/2014	11,667
	12/16/2013	11,667
	12/12/2016	120
	<b>Totals</b>	<b>100,000</b>

DATE: 11/18/2021

Securities and Exchange Commission  
100 F Street, N.E.  
Washington, D.C. 20549

Enclosed are three (3) copies of the Notice of Proposed Sale of Securities on Form 144  
relating to the proposed sale of 100,000 shares of common Stock  
of Information Services Group "III" by Neil Budnick.

Very truly yours,

Merrill Lynch, Pierce, Fenner & Smith Incorporated

By: 

Resident Vice President / Resident Manager Signature

Alex Mueck, VP, Merrill Lynch

Market Supervision Manager

301 Tresser Boulevard, Stamford, CT 06091

RVP / RM Name & Branch Office Address