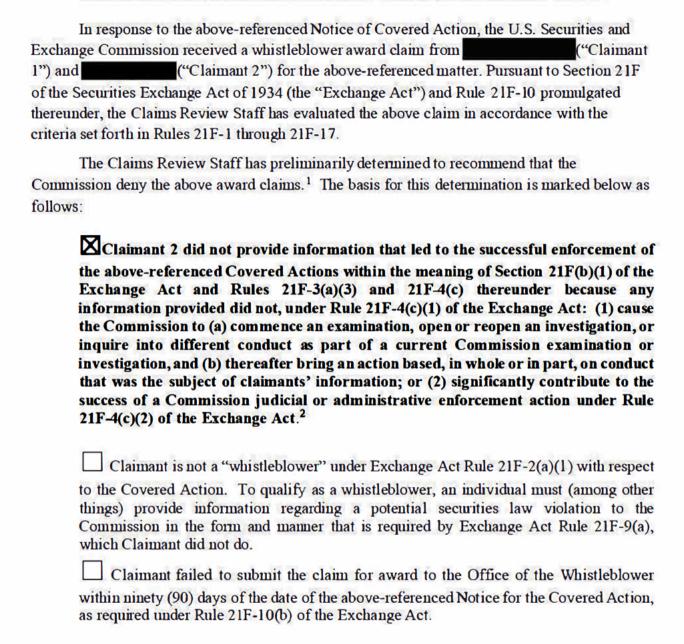
Notice of Covered Action

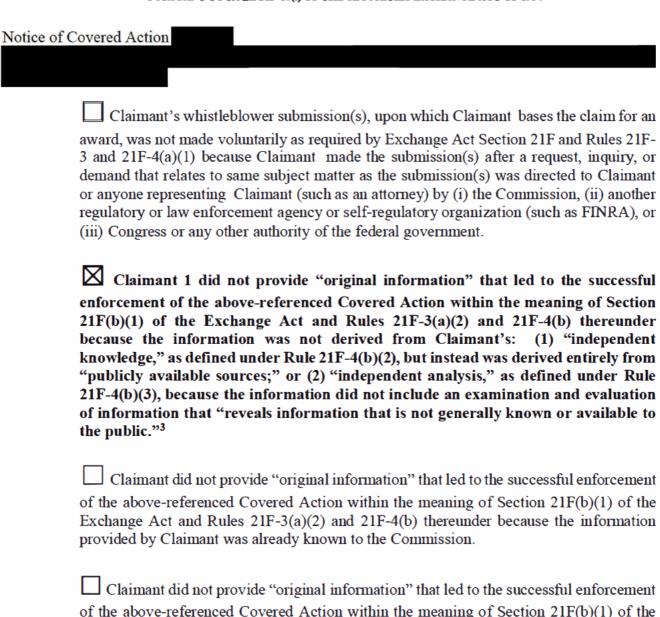
## PRELIMINARY DETERMINATIONS OF THE CLAIMS REVIEW STAFF



<sup>&</sup>lt;sup>1</sup> To the extent Claimants have applied for an award in a related action, because Claimants are not eligible for awards in an SEC Covered Action, he/she is not eligible for an award in connection with any related action. See 15 U.S.C. § 78u-6(b); Exchange Act Rule 21F-3(b), (b)(1); Rule 21F-4(g) and (f): Rule 21F-11(a); see also Order Determining Whistleblower Award Claim, Release No. 34-86902 (Sept. 9, 2019).

<sup>&</sup>lt;sup>2</sup> The investigation was opened based on a source other than Claimant 2. Investigative staff responsible for the Covered Action did not receive any information from, or had any communications with, Claimant 2. Claimant 2's tip was not provided to investigative staff and the information submitted by Claimant 2 did not assist or contribute in any way to the Covered Action.

## FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON OCTOBER 30, 2020 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934



By: Claims Review Staff Date: August 31, 2020

of the Dodd-Frank Wall Street Reform and Consumer Protection Act).4

Exchange Act and Rules 21F-3(a)(2) and 21F-4(b) thereunder because the information was not provided to the Commission for the first time after July 21, 2010 (the date of enactment

<sup>&</sup>lt;sup>3</sup> The record reflects that Claimant 1's information was derived from publicly available sources, such as Commission filings, chat rooms, stock tip sheets or newsletters, news articles and other online sources. Claimant 1's information did not appear to contain any additional assessment or evaluation of the information that was not apparent from the face of the publicly available materials. Furthermore, information derived exclusively from the news media does not constitute "original information." Exchange Act Rule 21F-4(b)(iii).

<sup>&</sup>lt;sup>4</sup> See Stryker v. SEC, 780 F.3d 163 (2d Cir. 2015).