



**Larry E. Thompson**  
**General Counsel**  
**The Depository Trust and Clearing Corporation**

Mr. Thompson is General Counsel of The Depository Trust and Clearing Corporation (DTCC). He is a member of the DTCC Executive Leadership Team and co-chair of DTCC's Internal Risk Management Committee.

Mr. Thompson is responsible for all legal and regulatory activities of DTCC and its subsidiaries, The Depository Trust Company (DTC), DTCC Deriv/SERV LLC, and the National Securities Clearing Corporation. In this capacity, he interfaces with government and regulatory agencies on issues impacting the company.

He handles complex negotiations, including the merger-and-acquisition agreements. He oversees litigation. In addition, he acts as chief negotiator for collective bargaining agreements.

Mr. Thompson provides crisis management counsel to the senior leadership team; serves as counsel to the Employee Benefit Plans Committee and provides day-to-day advice and guidance to Human Resources.

He is a founding member of the DTCC's Diversity Committee; helped develop the company's Code of Ethics; established policies governing workplace harassment; and has played a central role in building collaborative relationships between the union representing many of DTCC's clerical employees and the company.

Mr. Thompson is a 2005 David Rockefeller Fellow, having been selected to participate in a program designed to strengthen its fellows' business and civic leadership skills, positioning them to help shape the future of New York City.

Mr. Thompson began his legal career with DTC as Associate Counsel in 1981. He was elected Vice President and Deputy General Counsel in 1991, became a Senior Vice President in 1993 and was named to his current position in 1999. Previously, Mr. Thompson was a partner in the New York law firm of Lake, Bogan, Lenoir, Jones & Thompson. He also practiced law at Davis Polk & Wardwell.

Mr. Thompson is the former Chairman of the Securities Clearing Group and former Co-Chairman of the Unified Clearing Group. His memberships include the New York State Bar Association; the New York County Lawyers' Association; Association of the Bar of the City of New York; Business Executives for National Security; and the Global Association of Risk Professionals.

His is a trustee of the American Foundation for the Blind. He is a former director of the Legal Aid Society of New York and a former director of The Studio Museum of Harlem.

Mr. Thompson earned his B.A. degree from Yale University with cum laude honors and as Scholar of the House. He earned his law degree at the University of California at Berkeley.