VII. OTHER MEMBER FEES

B. Port Fees

A port is a logical connection or session that enables a market participant to send inbound messages and/or receive outbound messages from the Exchange using various communication protocols. Port Fees are assessed in full month increments and are not prorated.

(i) The following order and quote protocols are available on Phlx:

(1) [Order Entry] FIX Port Fee $650 per month per mnemonic

The [Order Entry] FIX Port Fee will be waived for mnemonics that are used exclusively for complex orders where one of the components of the complex order is the underlying security.

Member organizations will not be assessed an [Order Entry] FIX Port Fee for additional ports acquired for ten business days for the purpose of transitioning technology. The member organization is required to provide the Exchange with written notification of the transition and all additional ports, provided at no cost, will be removed at the end of the ten business days.

(2) [Active] SQF Port Fee for ports that receive inbound quotes at any time within that month $1,250 per port per month up to a maximum of $42,000 per month

Member organizations will not be assessed an [Active] SQF Port Fee for additional ports acquired for ten business days for the purpose of transitioning technology. An active port shall mean that the port was utilized to submit a quote to the System during a given month. The member organization is required to provide the Exchange with written notification of the transition and all additional ports, provided at no cost, will be removed at the end of the ten business days.

(3) SQF Purge Port Fee $500 per port per month for each of the first 5 SQF Purge Ports, and $100 per port per month for each port thereafter.

(ii) The following order and execution information is available to members:

((3)[1) CTI $650 per port per month for each of
Port Fee

the first 5 CTI ports, and $100 per port per month for each port thereafter.

[(4) SQF Purge Port Fee

$500 per port per month for each of the first 5 SQF Purge Ports, and $100 per port per month for each port thereafter.]

(2) TradeInfo Interface

$95 per user per month

(iii) The following data port fees apply in connection with data subscriptions pursuant to Phlx’s Pricing Schedule at Section IX. These ports are available to non-Phlx members and Phlx members.

(1) TOPO Port Fee $0 per port, per month

(2) PHLX Orders Port Fee $0 per port, per month

(3) PHLX Depth of Market Port Fee $0 per port, per month

(iv) Other Ports:

Disaster Recovery Port Fee for ports in subsections (i) – (iii) $0 per port, per month

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XII. PHLX Trading Application Services Reserved.

[TradeInfo PHLX

TradeInfo PHLX is available to PHLX members for a fee of $95 per user per month. TradeInfo PHLX is an Internet-based tool that allows a subscriber to access all of its PHLX order and execution information. Subscribers may check the status of orders (e.g., open, executed, canceled), cancel open orders and generate various reports.]

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