

**EXHIBIT 5**

*New text is underlined; deleted text is in brackets.*

**NASDAQ PHLX Rules**

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**OPTION FLOOR PROCEDURE ADVICES AND ORDER & DECORUM REGULATIONS**

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**F. MISCELLANEOUS****F-1 Use of Identification Letters and Numbers**

All Specialists, ROTs, and Floor Brokers must use the complete alpha/numeric identification assigned by the Exchange. All Floor Brokers or their employees must indicate their complete alpha/numeric identifiers on the Options Floor Broker Management System for each order they receive and represent in the trading crowd.

[FINE SCHEDULE (Implemented on a two-year running calendar basis)

1st Occurrence	\$250.00
2nd Occurrence	\$500.00
3rd Occurrence	\$1,000.00
4th Occurrence and Thereafter	Sanction is discretionary with Business Conduct Committee] <u>Fine not applicable.</u>

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