

**EXHIBIT 5**

**THE OPTIONS CLEARING CORPORATION  
SCHEDULE OF FEES – [JANUARY 2013] APRIL 2014**

**CLEARING MEMBER**

**CLEARING**

<b>Clearing Fees</b> [*]		Market Maker/Specialist Scratch per side	\$ 0.02[1]
Trades with contracts of:		Minimum Monthly Clearing Fee	\$ 200.00
1 – 500	\$ .05[3] per contract	Exercise Fee – per line item on exercise notice	\$ 1.00
501 – 1000	\$ .04[24] per contract		
1001 – 2000	\$ .03 per contract [18.00 per trade]		
Greater than 2000 trade	\$ 55.00 [18.00] per trade		
		<b>MEMBERSHIP</b>	
		New Clearing Member Qualification Fee	\$ 4,000.00
		<b><u>Stock and Market Loan Program Transaction Fees</u></b>	
		Per transaction assessed against each lender and borrower	\$ 1.00
		<b><u>STAMPS</u></b>	
		Clearing Member Authorization Stamp per stamp	\$ 23.00

[\*Discounted fees in effect until further action by the OCC Board of Directors.]

**New Products**

From the first day of listing through the end of the following calendar month: \$ 0.00

Thereafter reverts to the clearing fees specified above.

**ANCILLARY SERVICES**

**TIER I**

- ENCORE Access
- MyOCC Access
- Data Service – proprietary position and trade data (includes transmission to service bureau)
- Report Bundle
- Series File
- Special Settlement File
- Open Interest File
- Prices File
- Stock Loan File
- Theoretical Profit and Loss Values
- Leased line charges are additional  
\$ 1,500.00 per month

**Additional Clearing Member:**

No Charge

**TIER II**

- ENCORE Access
- MyOCC Access
- Data Service – proprietary position and trade data (includes transmission to service bureau)
- Report Bundle
- Leased line charges are additional  
\$ 1,000.00 per month

**TIER III**

- ENCORE Access
- MyOCC Access  
\$650.00 per month

**TIER IV** (Stock Loan Only)

- ENCORE Access
- MyOCC Access  
\$ 300.00 per month

LEASED LINE SERVICES

T1 line to a Midwest Destination	\$1,000.00 per month, per line
T1 line to an East Coast Destination	\$1,500.00 per month, per line
T1 line to a West Coast Destination	\$2,000.00 per month, per line

**CLEARING MEMBER/NON-CLEARING MEMBER**

PUBLICATIONS/BROCHURES

Disclosure Documents	\$ .45
OCC/ICC By-Laws and Rules	\$ 47.00

(Updates can be obtained on a subscription basis for \$47.00 per year.)

**NON-CLEARING MEMBER**

SERIES INFORMATION

Non-Clearing Member

Non-Distribution	\$1,750.00 per month
Distribution	\$3,000.00 per month
Real Time Data	\$250.00 per month (in addition to fees listed above)

PRICES INFORMATION

Non-Clearing Member	\$3,000.00 per month
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THEORETICAL PROFIT AND LOSS VALUES\*

Non-Clearing Member	\$1,000.00 per month
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**ESCROW BANKS**  
**ESCROW PROGRAM FEES**

Escrow Bank Monthly Program Fee	\$200.00
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**ALL FEES ARE SUBJECT TO CHANGE**

For further information, contact Member Services at 1-800-621-6072.