Rule 11.26. Compliance with Regulation NMS Plan to Implement a Tick Size Pilot

Interpretations and Policies.

.08 A Trading Center shall begin the data collection required pursuant to Appendix B.I.a(1) through B.II.(y) of the Plan and Item I of Appendix C of the Plan on April 4, 2016. The requirement that the Exchange or the DEA provide information to the SEC within 30 days following month end [and make certain data publicly available on the Exchange’s or DEA’s web site] pursuant to Appendix B and C of the Plan shall commence at the beginning of the Pilot Period. Notwithstanding the provisions of paragraphs (b)(2)(B), (b)(3)(C), and (b)(5) of this Rule, [W]ith respect to data for the Pre-Pilot Period and Pilot Period, the requirement that the Exchange or the DEA make [certain] Appendix B data publicly available on the Exchange’s or the DEA’s website [pursuant to Appendix B and C to the Plan] shall commence [at the beginning of the Pilot Period] on August 31, 2017. Notwithstanding the provisions of paragraph[s] [(b)(2)(B), (b)(3)(C), and (b)(5)] (b)(4) of this Rule, the Exchange or the DEA shall make Appendix C data for the Pre-Pilot Period through January 2017 publicly available on the Exchange’s or the DEA’s website [pursuant to Appendix B and C to the Plan] by February 28, 2017.