

Additions underscored

Deletions [bracketed]

NYSE AMEX OPTIONS FEE SCHEDULE*

*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Effective as of April 11, 2016

E. Amex Customer Engagement (“ACE”) Program – Standard Options. The per contract credits in the table below apply to Electronic transactions in Standard Options. The credits are payable to the OFP that submits, as agent, orders to the Exchange, and is payable only on Customer volume.

	ACE Program – Standard Options			Credits Payable On Customer Volume Only		
Tier	Customer Electronic ADV as a % of Industry Customer Equity and ETF Options ADV	OR	Total Electronic ADV (of which 20% or greater of the minimum qualifying volume for each Tier must be Customer) as a % of Industry Customer Equity and ETF Options ADV	Customer Volume Credits	1 Year Enhanced Customer Volume Credits	3 Year Enhanced Customer Volume Credits
1	0.00% to 0.60%		N/A	\$0.00	\$0.00	\$0.00
2	> 0.60% to 0.80% or ≥ 0.35% over October 2015		N/A	[(<u>\$0.16</u>) <u>(\$0.18)</u>]	[(<u>\$0.16</u>) <u>(\$0.18)</u>]	[(<u>\$0.16</u>) <u>(\$0.18)</u>]

	volumes				
3	>0.80% to 1.25%	1.50% to 2.50% of which 20% or greater of 1.50% must be Customer	[(<u>\$0.17</u>) <u>(\$0.19)</u>]	[(<u>\$0.18</u>) <u>(\$0.20)</u>]	[(<u>\$0.19</u>) <u>(\$0.21)</u>]
4	> 1.25 to 1.75%	> 2.50% to 3.50% of which 20% or greater of 2.50% must be Customer	[(<u>\$0.18</u>) <u>(\$0.20)</u>]	[(<u>\$0.19</u>) <u>(\$0.21)</u>]	[(<u>\$0.21</u>) <u>(\$0.22)</u>]
5	>1.75%	>3.50% of which 20% or greater of 3.5% must be Customer	[(<u>\$0.19</u>) <u>(\$0.22)</u>]	[(<u>\$0.21</u>) <u>(\$0.23)</u>]	[(<u>\$0.23</u>) <u>(\$0.24)</u>]

G. CUBE Auction Fees & Credits. The following table describes the per contract fees and credits for executions associated with a CUBE Auction.

Participant/Penny or Non-Penny	Standard Option Per Contract Fee or Credit
CUBE Order Fee Customer – both Penny Pilot and Non-Penny Pilot	\$0.00
CUBE Order Fee Non-Customer – both Penny Pilot and Non-Penny Pilot	\$0.20
Contra Order Fee – both Penny Pilot and Non-Penny Pilot	\$0.05
RFR Response Fee Customer – both Penny Pilot and Non-Penny Pilot	\$0.00
RFR Response Fee Non-Customer – Penny Pilot	[\$0.12] <u>\$0.70</u>
RFR Response Fee Non-Customer – Non-Penny Pilot	[\$0.12] <u>\$1.05</u>
Initiating Participant Credit - Penny Pilot	[(<u>\$0.05</u>)] <u>(\$0.35)</u> ¹
Initiating Participant Credit - Non-Penny Pilot	[(<u>\$0.05</u>)] <u>(\$0.70)</u> ¹

ACE Initiating Participant Rebate – both Penny Pilot and Non-Penny Pilot	$[(\$0.05)](\underline{\$0.18})^2$
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¹ Initiating Participant Credits are payable for each contract in an order paired with a CUBE Order that does not trade with the CUBE Order because it is replaced in the auction.

² The ACE Initiating Participant Rebate is applied to each of the first 5,000 Customer contracts of a CUBE Order executed in a CUBE Auction. This Rebate is in addition to any additional credits set forth above. Only ATP Holders who qualify for Tiers 2, 3, 4 or 5 of the ACE Program are eligible to receive the Rebate.
