

Additions: Underlined

Deletions: [Bracketed]

NYSE MKT Rules

Trading of Option Contracts

Section 900NY. Rules Principally Applicable to Trading of Option Contracts

Rule 900.2NY Definitions

Whenever and wherever used herein, unless the context requires otherwise, the following terms shall be deemed to have the meanings indicated:

(1) – (18) No Change

(18A) Professional Customer . The term "Professional Customer" means individual or organization that (i) is not a Broker/Dealer in securities, and (ii) places more than 390 orders in listed options per day on average during a calendar month for its own beneficial account(s). A Professional Customer will be treated in the same manner as a Broker/Dealer (or non-Customer) in securities for the purposes of Rule 900.3NY(j)(Facilitation Order), 904G(f) (FLEX Trading Procedures and Principles - Crossing Limitations), 934NY(Crossing), 934.1NY (Facilitation Cross Transactions), 934.2NY(At-Risk Cross Transactions), 934.3NY(Solicitation), 963NY(Priority and Order Allocation Procedures - Open Outcry), 964NY (Display, Priority and Order Allocation - Trading Systems), 964.2NY(b)(1)(iii)(Participation Entitlement of Specialists and e-Specialists), 964.2NY(b)(3)(B)(Allocation Of Participation Entitlement Amongst Specialist Pool), Rule 971.1NY (Electronic Cross Transactions), 975NY(b)(1) (Obvious Errors and Catastrophic Errors), 980NY(b) (Electronic Complex Order Trading)[, Rule 995NY(b)(Prohibited Conduct - Limit Orders)] and the Exchange's schedule of fees.

(19) – (88) No Change

Rule 995NY. Prohibited Conduct

(a) No change.

(b) Reserved. [Limit Orders- ATP Holders shall not enter Customer orders into the System, as agent, limit orders in the same option series, for the account or accounts of the

same or related beneficial owner, in such a manner that the Customer or beneficial owner(s) effectively is operating as a market maker by holding itself out as willing to buy and sell such option contract on a regular or continuous basis. In determining whether a Customer or beneficial owner effectively is operating as a market maker, the Exchange will consider, among other things, the simultaneous or near-simultaneous entry of limit orders to buy and sell the same option contract; or, the multiple acquisition and liquidation of positions in the same option series during the same day.]

(c) – (f) No change.
