

EXHIBIT 5

Additions underscored
 Deletions [bracketed]

NYSE MKT Equities
 Price List 2014

Last Updated: [March 4] April 1, 2014

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Equity Transaction Fees and Credits for Listed Securities¹

Transactions in Securities with a Per Share Price of \$1.00 or More

Equity per Share Credit - per transaction - when adding liquidity (displayed and non-displayed orders)	\$0.0016
<u>Equity per Share Credit - per transaction - when adding liquidity (displayed and non-displayed orders) in orders designated as retail (i.e., orders that satisfy the requirements of Rule 107C(a)(3) – Equities).....</u>	<u>\$0.0030; or \$0.0016 if a Mid-Point Passive Liquidity ("MPL") Order</u>

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Equity per Share Charge ³ for all [Midpoint Passive Liquidity ("MPL")] [o]Orders that remove liquidity from the Exchange.....	\$0.0028
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Credits Applicable to Supplemental Liquidity Providers

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Credit per Share - per transaction - for SLPs - when adding liquidity to the

¹ No change.

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³ No change.

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Exchange in securities with a per share price of \$1.00 or more, if the SLP meets the 5% average or more quoting requirement in an assigned security pursuant to Rule 107B..... \$0.0032

Credit per Share - per transaction - for SLPs - when adding liquidity to the Exchange in securities with a per share price of \$1.00 or more in orders designated as retail (i.e., orders that satisfy the requirements of Rule 107C(a)(3) – Equities)..... The higher of \$0.0030 or the rates above; or \$0.0016 if an MPL Order

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Equity Transaction Fees and Credits For Nasdaq Securities Traded Pursuant to Unlisted Trading Privileges

Fees and Credits applicable to Market Participants for Transactions in Nasdaq Securities⁸

	Share Price \$1.00 or more	Share Price below \$1.00
Equity per Share Credit - per transaction - when adding liquidity, including displayed and non-displayed orders...	\$0.0025	Not Applicable
		0.10% of total dollar value of the transaction (Floor brokers only)
<u>Equity per Share Credit - per transaction - when adding liquidity, including displayed and non-displayed orders, in orders designated as retail (i.e., orders that satisfy the requirements of Rule 107C(a)(3) – Equities)...</u>	<u>The higher of \$0.0030 or the rates above or below; or \$0.0025 if an MPL Order</u>	<u>Not Applicable</u>

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⁸ No change.

**Fees and Credits applicable to Supplemental Liquidity Providers (SLPs)
for Transactions in Nasdaq Securities**

	Share Price \$1.00 or more *****	Share Price below \$1.00 *****

Equity per Share Credit – per transaction for displayed liquidity – when adding liquidity in orders that originally display a minimum of 2,000 shares with a trading price of at least \$5.00 per share, for as long as the order is not cancelled in an amount that would reduce the original displayed amount below 2,000 shares	\$0.0035; or \$0.0025 if an MPL Order	Not Applicable
<u>Equity per Share Credit - per transaction - when adding liquidity in orders designated as retail (i.e., orders that satisfy the requirements of Rule 107C(a)(3) – Equities)...</u>	<u>The higher of \$0.0030 or the rates above; or \$0.0025 if an MPL Order</u>	<u>Not Applicable</u>
