

Additions underlined
Deletions [bracketed]

Rules of NYSE MKT LLC

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TRADING OF OPTION CONTRACTS

Section 900NY. Rules Principally Applicable to Trading of Option Contracts

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Rule 957NY. Reporting Duties

(a) All option transactions must be immediately reported to the Exchange, in a form and manner prescribed by the Exchange, for dissemination to the Options Price Reporting Authority (“OPRA”). This requirement applies to all ATP Holders who are required to report trades either directly to OPRA or to another party who is responsible for reporting trades to OPRA. Transactions not reported to OPRA within 90 seconds after the execution will be designated as “late.” An ATP Holder who is responsible for a pattern or practice of late reporting of [an] option transactions, without reasonable justification or excuse, will be subject to a fine pursuant to Section 9A. Repeated or aggravated violations of this rule may result in formal disciplinary action.

(b) – (f) No Change.

Commentary No Change.

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