

Additions underlined  
Deletions [bracketed]

**NYSE Arca Equities**

**FEES AND CHARGES**

**Effective Date: [January 3] February 1, 2022**

**NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS<sup>1</sup>**

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EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS<sup>2</sup>

Round Lots and Odd Lots (Per Share Price \$1.00 or Above and Per Share Price Below \$1.00)

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**VI. Tier Rates - Round Lots and Odd Lots (Per Share Price \$1.00 or Above):**

\* \* \* \* \*

Retail Tiers					
Tier	Minimum Requirement of CADV			Rates for Retail Orders	
	Retail Adding ADV	Retail Orders with a time-in-force of Day that add and remove that is an increase over April 2018	Adding ADV	Credit for Retail Adding	Fee for Retail Removing with a Time-in-force of Day
Retail Order Tier	0.15%			(\$0.0033)	
Retail Order Step-Up Tier 1 <sup>(d)(e)</sup>		0.40% <u>or</u> <u>55 Million ADV of Retail Orders with a time-in-force of Day that add and remove</u>	1.00%	(\$0.0038)	No Fee
Retail Order Step-Up Tier 2 <sup>(e)</sup>		0.10%		(\$0.0035)	No Fee
Retail Order Step-Up Tier 3 <sup>(e)</sup>		0.20%		(\$0.0036)	No Fee

<sup>(d)-(e)</sup> No Change.

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