

Additions underlined

Deletions [bracketed]

NYSE Arca Equities**FEES AND CHARGES****Effective Date: July 1, 2021****NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹**

EXCHANGE TRANSACTIONS**ETP HOLDERS AND MARKET MAKERS²**

Round Lots and Odd Lots (Per Share Price \$1.00 or Above)

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<p>Tier 2: For ETP Holders and Market Makers that either provide liquidity an average daily share volume per month of 0.30% or more, but less than 0.70% of the US CADV³ or (a) provide liquidity an average daily share volume per month of 0.25% or more, but less than 0.70% of the US CADV, (b) execute removing volume in Tape B Securities equal to at least 0.40% of US Tape B CADV, and (c) are affiliated with an OTP Holder or OTP Firm that provides an ADV of electronic posted Customer and Professional Customer executions in all issues on NYSE Arca Options (excluding mini options) of at least 0.25% of total</p>	<p>Tape A, Tape B and Tape C Securities:</p> <p>\$0.0030 per share (fee) for orders routed to any away market center that remove liquidity, including PO Orders</p> <p>Tape A and Tape C Securities:</p> <p>\$0.0029 per share (credit) for orders that provide liquidity[, or \$0.0031 per share (credit) for orders that provide displayed liquidity for ETP Holders and Market Makers that meet the requirements of Tier 2 and, for the billing month, (1) execute providing volume equal to at least 0.30% of US CADV, (2) execute removing volume equal to at least 0.285% of US CADV, and (3) execute Market-On-Close and Limit-On-Close Orders executed in a Closing Auction of at least 0.075% of US CADV]</p> <p>\$0.0010 per share (fee) for Market, Market-On-Close, Limit-On-Close, and Auction-Only Orders executed in a Closing Auction</p> <p>Tape B Securities:</p>

<p>Customer equity and ETF option ADV as reported by OCC:</p>	<p>\$0.0022 per share (credit) for orders that provide liquidity[, or \$0.0024 per share (credit) for orders that provide displayed liquidity for ETP Holders and Market Makers that meet the requirements of Tier 2 and, for the billing month, (1) execute providing volume equal to at least 0.30% of US CADV, (2) execute removing volume equal to at least 0.285% of US CADV, and (3) execute Market-On-Close and Limit-On-Close Orders executed in a Closing Auction of at least 0.075% of US CADV]. An additional credit shall apply to ETP Holders and Market Makers affiliated with LMMs that provide displayed liquidity based on the number of Less Active ETP Securities in which the LMM is registered as the LMM. The applicable tiered-credits are noted below (See LMM Transaction Fees and Credits).</p> <p>\$0.0029 per share (fee) for orders, including Limit Non-Displayed Orders, that take liquidity</p> <p>\$0.0010 per share (fee) for Market, Market-On-Close, Limit-On-Close, and Auction-Only Orders executed in a Closing Auction in NYSE Arca primary listed securities (includes all ETFs/ETNs)</p> <p>For all other fees and credits, Basic Rates apply</p>
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<p>[Tracking Order Tier 1: For ETP Holders and Market Makers that send Tracking Orders to the Exchange resulting in executions with an average daily share volume per month greater than or equal to 10 million shares]</p>	<p>[Tape A, Tape B, and Tape C Securities:</p> <p>\$0.0015 per share (credit) for Tracking Orders that result in executions]</p>
<p>[Tracking Order Tier 2: For ETP Holders and Market Makers that send Tracking Orders to the Exchange resulting in executions with an average daily share volume per</p>	<p>[Tape A, Tape B, and Tape C Securities:</p> <p>\$0.0012 per share (credit) for Tracking Orders that result in executions]</p>

month between 5 million shares and 9,999,999 shares]	
Tracking Order Tier <u>1</u> [3]: For ETP Holders and Market Makers that send Tracking Orders to the Exchange resulting in executions with an average daily share volume per month [between] <u>of at least</u> 1 million shares [and 4,999,999 shares]	Tape A, Tape B, and Tape C Securities: \$0.001 per share (credit) for Tracking Orders that result in executions

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