

Additions underscored
 Deletions [bracketed]

NYSE Arca Equities

FEES AND CHARGES

Effective Date: February 3, 2020

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS²

Round Lots and Odd Lots (Per Share Price \$1.00 or Above)

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<p>Tape B Tier 1: For ETP Holders and Market Makers on a daily basis, measured monthly, that <u>either</u> directly execute providing volume in Tape B Securities during the billing month (“Tape B Adding ADV⁴”) that is equal to at least 1.50% of US Tape B CADV³ for the billing month <u>or are affiliated with an OTP Holder or OTP Firm that provides an ADV of electronic posted executions for the account of a market maker in all issues on NYSE Arca Options (excluding mini options) of at least 0.55% of total Customer equity and ETF option ADV as</u></p>	<p>Tape B Securities: \$0.0030 per share (credit) for orders that provide liquidity to the Book. LMMs cannot qualify for the Tape B Tier 1. For all other fees and credits, Tiered or Basic Rate apply based on a firm’s qualifying levels.</p>
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<p><u>reported by OCC and have Tape B Adding ADV as follows:</u></p> <ul style="list-style-type: none">• <u>equal to at least 1.00% of US Tape B CADV for February 2020</u>• <u>equal to at least 1.15% of US Tape B CADV for March 2020</u>• <u>equal to at least 1.25% of US Tape B CADV for April 2020 and thereafter</u>	
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