

Additions underscored

Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: December [2]9, 2019

NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as “Customer” executions for fee/credit purposes. Firms, Broker Dealers, and Market Makers are collectively referred to herein as “Non-Customers.”

NYSE FANG+ Index (FAANG) Transaction Fees*	
Fees	Rate Per Contract
All Non-Customers and Professional Customers – Per Contract Side	\$0.35
All Customers – Per Contract Side	\$0.00
Market Makers	\$0.00
<i>*Fees apply to both Manual and Electronic Executions.</i>	

MM FAANG Credit: The Exchange will provide a credit [of \$5,000] to any firm that is an NYSE Arca Options Market Maker or LMM that executes a specified minimum number of[at least 500] total monthly contract sides that open a position in FAANG on the Exchange (“eligible contract sides”), as set forth below (“MM FAANG Credit”):

- a credit of \$5,000 for a minimum of 500 eligible contract sides; provided, however, that if more than [ten]five firms qualify for [a]this \$5,000 MM FAANG Credit in a calendar month, the MM FAANG Credit for each qualifying firm will be a pro rata share of [\$50,000.]\$25,000; or
- a credit of \$10,000 for a minimum of 2,000 eligible contract sides; provided, however, that if more than two firms qualify for this \$10,000 MM FAANG Credit in a calendar month, the MM FAANG Credit for each qualifying firm will be a pro rata share of \$25,000. A firm that qualifies for the \$10,000 credit will not be eligible for the \$5,000 credit.
