

Additions underscored
Deletions [bracketed]

NYSE Arca Options Fees and Charges

Effective Date: [~~September 8~~]October 1, 2017

NYSE Arca OPTIONS: TRADE-RELATED CHARGES FOR STANDARD OPTIONS¹⁴

Unless Professional Customer executions are specifically delineated, such executions will be treated as Customer executions for fee purposes.

QUALIFIED CONTINGENT CROSS TRANSACTION FEES	
Fees/Rebates	Rate Per Contract
All Non-Customers in All Issues – Per Contract Side	\$0.20
All Customers in All Issues – Per Contract Side	\$0.00
Floor Broker Rebate for Executed Orders – Per Contract Side ¹³	\$0.035

NYSE Arca OPTIONS: GENERAL

BILLING DISPUTES

All fee disputes concerning fees billed by the Exchange must be submitted to the Exchange in writing and must be accompanied by supporting documentation. All fee disputes must be submitted no later than sixty (60) days after receipt of a billing invoice.

1.- 12. No Change.

13. QCC executions in which a Customer is on both sides of the QCC trade will not be eligible for the Floor Broker Rebate. The maximum Floor Broker Rebate paid shall not exceed \$375,000 per month per Floor Broker firm.

14. Binary Return Derivatives (“ByRDs”) transactions. ByRDs are exempt from all transaction fees and credits. Any volume in ByRDs will be included in the calculations to qualify for any volume-based incentives currently being offered on the Exchange.

15. No Change.
