

Additions underscored
 Deletions [bracketed]

RULES OF THE NYSE ARCA EQUITIES, INC.

RULE 2 EQUITY TRADING PERMITS

[Rule 2.100. Emergency Powers

- (a) (1) If a qualified Affiliated Exchange officer declares an emergency condition under the rules of the Affiliated Exchange ("Emergency Condition"), a qualified Corporation officer may authorize the Corporation to perform the functions under this rule.
- (2) Definitions
- (A) The term "qualified Corporation officer" as used herein means the Chief Executive Officer of Intercontinental Exchange, Inc. ("ICE") or his designee, or the Chief Regulatory Officer or his designee. In the event that none of these individuals is able to act due to incapacitation, the most senior surviving officer of ICE shall be a "qualified Corporation officer" for purposes of this rule.
- (B) The term "Affiliated Exchange" means the New York Stock Exchange LLC, NYSE MKT LLC, or a national securities exchange otherwise designated by the Corporation as an affiliated entity.
- (b) (1) When an Emergency Condition is declared under paragraph (a), the Affiliated Exchange:
- (A) shall halt all trading conducted on the Affiliated Exchange's systems and facilities and shall not route any unexecuted orders to the Corporation;
- (B) shall accept cancellations for Good `Til Cancelled ("GTC") orders; and
- (C) shall purge any unexecuted orders from the Affiliated Exchange's own systems and facilities as soon as practicable following declaration of the Emergency Condition.
- (2)(A) Beginning on the next trading day following the declaration of the Emergency Condition, the Corporation shall, on behalf of and at the direction of the Affiliated Exchange, disseminate:
- (i) the official opening, re-opening, and closing trades of Affiliated Exchange-listed

- securities to the Consolidated Tape as messages of the Affiliated Exchange, and
- (ii) any notification for Affiliated Exchange-listed securities to the Consolidated Quotation System of a regulatory halt and resumption of trading thereafter, trading pause and resumption of trading thereafter, and Short Sale Price Test trigger and lifting thereafter, as messages of the Affiliated Exchange.
- (B) Bids and offers for Affiliated Exchange-listed securities entered on or through the systems and facilities of the Corporation during the Emergency Condition shall be reported to the Consolidated Quotation System as bids and offers of the Corporation, except that the opening quote shall be reported to the Consolidated Quotation System as a bid and/or offer of both the Affiliated Exchange and the Corporation and any re-opening quote shall be reported to the Consolidated Quotation System as a bid and/or offer of the Affiliated Exchange. Bids and offers for Affiliated Exchange-listed securities executed on or through the systems and facilities of the Corporation during the Emergency Condition shall be reported to the Consolidated Tape as executions of the Corporation, except for executions in the opening, re-opening, or closing auctions, which shall be reported as Affiliated Exchange executions and Affiliated Exchange volume only.
- (3) During an Emergency Condition, all trading of Affiliated Exchange-listed securities entered or executed on or through the systems and facilities of the Corporation shall be subject to the NYSE Arca Equities Rules (including but not limited to the opening, re-opening, and closing auction processes applicable to securities for which the Corporation is the primary listing market set forth in NYSE Arca Equities Rule 7.35), except that the Affiliated Exchange's listing requirements for its listed securities shall continue to apply.
- (c) (1) In connection with taking action under this rule, a qualified Corporation officer shall make reasonable efforts to consult with the Securities and Exchange Commission before taking such action, or, if the qualified Corporation officer is unable to consult prior to acting, as promptly thereafter as practicable under the circumstances.
- (2) The authority granted pursuant to this rule shall be operative for up to 10 calendar days from the date that the Corporation invokes such authority. The Corporation may request that the initial 10-calendar-day period be extended for a specific amount of time by submission of a rule filing pursuant to Section 19(b)(2) of the Securities Exchange Act of 1934, as amended. Such extension shall not take effect except upon approval of such a filing by the Securities and Exchange Commission.
- (3) Actions taken pursuant this rule may be terminated by the Corporation at any time. The Corporation shall provide adequate prior notice to ETP Holders, Sponsored Participants and investors regarding its intention to terminate any such action.]
