

Additions underscored
Deletions [bracketed]

RULES OF THE NYSE ARCA, INC.

RULE 2 OPTIONS TRADING PERMITS

REQUIREMENTS OF HOLDING AN OTP

Rule 2.17. Amendments to OTP Firm or OTP Documents

(c) An OTP Holder shall promptly file a Uniform Termination Notice for Securities Industry Registration ("Form U-5") electronically with the Central Registration Depository ("CRD"), but not later than 30 calendar days after the date of termination of an OTP. Any amendment to a Form U-5 shall also be promptly filed electronically with the CRD, but not later than 30 calendar days after learning of the facts or circumstances giving rise to the amendment. All Forms U-5 shall also be provided to the terminated person concurrently with filing.[An OTP Holder that terminates an OTP shall electronically file within ten (10) business days of such termination a Uniform Termination Notice for Securities Industry Registration ("Form U-5") with the CRD. Any amendments to Form U-5 shall be filed electronically with the CRD within ten (10) business days of the occurrence requiring the amendment.]

EMPLOYEES OF OTP FIRMS

Rule 2.23. Registration

(i) An OTP Holder and OTP Firm shall promptly file a Uniform Termination Notice for Securities Industry Registration ("Form U-5") electronically with the Central Registration Depository ("CRD"), but not later than 30 calendar days after the date of termination of an employee that has been approved for admission to the trading floor or participation in any trading system. Any amendment to a Form U-5 shall also be promptly filed electronically with the CRD, but not later than 30 calendar days after learning of the facts or circumstances giving rise to the amendment. All Forms U-5 shall also be provided to the terminated person concurrently with filing.[OTP Holders and OTP Firms are required

to file a Form U5 and any amendment thereto within ten (10) business days of the termination date of an employee that has been approved for admission to the trading floor or participation on any trading system. For registrations available and maintained electronically through the CRD system, such filings must be submitted to CRD. Filings for registrations not available on CRD and maintained directly with the Exchange must be submitted directly to the Exchange.] OTP Holders and OTP Firms are also responsible for obtaining and returning an employee's Exchange identification badge to the Exchange.
