

**NYSE ARCA OPTIONS GIVE-UP CHANGE FORM
FOR ACCEPTING CLEARING MEMBER**

Clearing Member Accepting the Trade (Name/OCC#):

Please check the box if you agree to be the Give-up on the trade below.

By signing this form, the signatory represents that he/she is authorized by the Clearing Member to act on its behalf to accept the trade.

Name (print) _____
Title

Signature _____
Date

Phone _____
Email

TRADE INFORMATION

Executing (“give-up”) OCC Clearing Number: _____

CMTA (if applicable): _____ Option Symbol: _____

Expiration Date (mm/dd/yy): _____ Buy/Sell: _____

Strike Price: _____ Call/Put _____

Volume: _____ Premium: _____

Trade Date: _____ Trade Time: _____

Executing Firm Info (Name and Floor Broker Acronym If Known): _____