

Additions underlined
Deletions [bracketed]

Rules of NYSE Arca, Inc.

RULE 6 OPTIONS TRADING

Rules Principally Applicable to Trading of Option Contracts

Rule 6.1A. Definitions and References - OX

(a) Whenever and wherever used in Rule 6 with respect to option contracts traded on OX, unless the context requires otherwise, the following terms shall be deemed to have the meanings indicated.

(1) – (4) No Change

(4A) Professional Customer. The term "Professional Customer" means an individual or organization that (i) is not a Broker/Dealer in securities, and (ii) places more than 390 orders in listed options per day on average during a calendar month for its own beneficial account(s).

(5) – (21) No Change

Rule 6.69. Reporting Duties

(a) – (f) No Change

Commentary:

.01 – .02 No Change

.03 *Origin Codes for Orders Excepted from EOC Pursuant to Rule 6.67(d)(1)(A).* For purposes of Rule 6.69(d), trade information includes the proper account origin codes, which are as follows: "C" for non-broker-dealer customer accounts; "F" for firm proprietary accounts; "M" for Market Maker accounts; "PC" for Professional Customers; and "B/D" for firm orders of non-OTP Holder or OTP Firm broker-dealer accounts, stock specialist accounts, or customer account trades of the broker-dealer or non-OTP Holder

or OTP Firm broker-dealer. In addition, Market Maker clearing firms are directed to instruct their respective trading desks to identify Market Maker orders that are entered from off the floor and not entitled to Market Maker margin treatment by placing a "C" after the Market Maker's number in the "firm" box on the ticket. Floor Brokers, when accepting an order by phone from a Market Maker, are similarly directed to identify that order in the same manner.
