

Additions underscored  
 Deletions [bracketed]

### **Rules of NYSE Arca Equities, Inc.**

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### **Section 3. NYSE Arca Marketplace**

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### **Rule 7.31. Orders and Modifiers**

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#### **(f) Tracking Order.**

An undisplayed, priced round lot order that is eligible for execution in the Tracking Order Process against orders equal to or less than the aggregate size of Tracking Order interest available at that price. An ETP Holder may specify a minimum executable size for a Tracking Order. If a Tracking Order with a minimum size requirement is executed but not exhausted and the remaining portion of the order is less than the minimum size requirement, the Tracking Order shall be cancelled. If a Tracking Order is executed but not exhausted, the remaining portion of the order shall continue to repost in the Tracking Order Process, and shall be assigned a new time priority upon each reposting, until either the total posted size is exhausted or the Tracking Order is cancelled by the submitting ETP Holder. A Tracking Order shall not trade-through a Protected Quotation. STP Modifiers are ignored for Tracking Orders.

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(h) Working Order. Any order with a conditional or undisplayed price and/or size designated as a "Working Order" by the Corporation, including, without limitation:

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- (6) MPL Order immediate-or-cancel ("MPL-IOC Order"). An MPL Order priced at the midpoint of the PBBO when entered that follows the time-in-force instructions of an immediate-or-cancel order. Subject to such immediate-or-cancel instructions, MPL-IOC Orders shall follow the same execution and priority rules as an MPL Order, provided, however, (i) an MPL-IOC Order shall have a minimum order entry size of one round lot[, (ii) Users may not specify a minimum executable size for an

MPL-IOC order,] and [(iii)] (ii) if the market is locked or crossed, the MPL-IOC Order will cancel. An MPL-IOC Order with a minimum executable size that cannot be met upon arrival shall be rejected.

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