NYSE Arca Equities, Inc.

SCHEDULE OF FEES AND CHARGES
FOR EXCHANGE SERVICES

[December 3] December 9, 2013

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS

<table>
<thead>
<tr>
<th>EXCHANGE TRANSACTIONS</th>
<th>ETP HOLDERS AND MARKET MAKERS²</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Round Lots and Odd Lots (Per Share Price $1.00 or Above)</td>
</tr>
<tr>
<td>Tier 1:</td>
<td>No change.</td>
</tr>
<tr>
<td>For ETP Holders and Market Makers that provide liquidity an average daily share volume per month of 0.70% or more of the US CADV³ or (2) that (a) provide liquidity an average daily share volume per month of 0.15% or more of the US CADV and (b) are affiliated with an OTP Holder or OTP Firm that provides an ADV⁴ of electronic posted</td>
<td>No change.</td>
</tr>
</tbody>
</table>

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1. No change.
2. No change.
3. US CADV means United States Consolidated Average Daily Volume for transactions reported to the Consolidated Tape, excluding odd lots through January 31, 2014 (except for purposes of Lead Market Maker pricing), and excludes volume on days when the market closes early. Transactions that are not reported to the Consolidated Tape are not included in US CADV.
4. No change.
executions (including all account types) in Penny Pilot issues on NYSE Arca Options (excluding mini options) of at least 100,000 contracts, of which at least 25,000 contracts must be for the account of a market maker:

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