

Additions are underlined;
Deletions are [bracketed].

RULES OF THE NYSE ARCA, INC.

RULE 6 OPTIONS TRADING

Rule 6.35. Appointment of Market Makers

(a) through (i) No Change

(j) *Performance Standards.* The Exchange will periodically conduct an evaluation of Market Makers to determine whether they have fulfilled performance standards relating to, among other things, quality of markets, competition among Market Makers, observance of ethical standards, and administrative factors. The Exchange may consider any relevant information including, but not limited to, the results of a Market Maker evaluation, trading data, a Market Maker's regulatory history and such other factors and data as may be pertinent in the circumstances.

(1) If the Exchange finds any failure by a Market Maker to meet minimum performance standards, the Exchange may take the following actions, after written notice and after opportunity for hearing pursuant to Rule 10.5:

(A) restriction of appointments to additional option issues in the Market Maker's [primary] appointment;

Commentary:

.01 A Market Maker's trades effected on the Trading Floor to accommodate cross trades executed pursuant to Rule 6.47 [do not] shall count toward [for nor against] the Market Maker's 75% requirement, regardless of whether the trades are in issues within or without [his] the Market Maker's [Primary A] appointment].

Rule 6.37. Obligations of Market Makers

(a) through (b) No Change

(c) *In Classes of Option Contracts Other Than Those to Which Appointed.* With respect to classes of option contracts other than those to which their [Primary A]appointments extend, pursuant to Rule 6.35 Market Makers should not engage in transactions for an account in which they have an interest that are disproportionate in relation to, or in derogation of, the performance of their obligations as specified in paragraph (b) of this Rule with respect to those classes of option contracts to which their appointments do extend. Whenever Market Makers enter the trading crowd for a class of options in which they do not hold a [Primary A]appointment in other than a floor brokerage capacity, they must fulfill the obligations established by paragraph (b) of this Rule. In addition, when present anywhere on the Options Trading Floor, with regard to all securities traded on the Trading Floor, Market Makers are expected to undertake the obligations specified in paragraph (b) of this Rule in response to a demand therefore from the Trading Official that the performance of such obligations by other Market Makers requires supplementation. Furthermore, Market Makers should not:

Commentary:

.01 through .03 No change

.04 The obligations of a Market Maker with respect to those classes of option contracts to which he holds an [Primary A]appointment, pursuant to Rule 6.35, shall take precedence over his other Market Maker obligations.

.05 through .06 No change

.07 Leaves of Absence: In order to assure compliance with all applicable rules, regulations and guidelines, the Exchange has provided the following procedures for granting leaves of absence to Market Makers:

(a) through (c) No change

(d) If a Market Maker on an extended leave of absence is appointed, as his Primary Allocation, to a closed post and a liquidity problem arises at that post, the Exchange, in reviewing the situation, may rescind the Market Maker's [Primary A]appointment in order to enhance trading liquidity in the post. A Market Maker so affected might then be reappointed to an open post, and may reapply for appointment to the closed post upon his return.

Rule 6.84. Joint Accounts

(a) through (f) No Change

(g) Individual Market Makers trading for a joint account must have an an [primary] appointment, but the joint account itself is not required to have an an [primary] appointment.

Rule 10.12. Minor Rule Plan

(a) through (g) No Change

(h) Minor Rule Plan: Options Floor Decorum and Minor Trading Rule Violations

(1) through (24) No change

(25) Failure to meet 75% [Primary A]anappointment [R]anrequirement. (Rule 6.35(i))

(i) through (j) No Change

(k) Minor Rule Plan: Recommended Fine Schedule

(i) Options Floor Decorum and Minor Trading Rule Violations

1 through 24 No change

25. Failure to meet 75% [Primary A]anappointment [R]anrequirement. (Rules 6.35(i))
