

Additions are underlined;
Deletions are [bracketed].

Rules of NYSE Arca Equities, Inc.

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RULE 7 EQUITIES TRADING

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Section 4. Operation of Routing Broker

Rule 7.45[1]. Operation of Routing Broker

(a) The term “Routing Broker” shall mean the broker-dealer affiliate of NYSE Arca, LLC and/or any other non-affiliate third-party broker-dealer that acts as a facility of NYSE Arca, LLC for routing orders entered into Exchange systems to other market centers for execution whenever such routing is required by the Rules of the Corporation and federal securities laws. The Routing Brokers will operate as described in this Rule 7.45[1].

(b) No change.

(c) Inbound Routing Function

(1) For so long as the Exchange is affiliated with NYSE Amex LLC (“NYSE Amex”) and New York Stock Exchange, LLC (“NYSE”), and Archipelago Securities LLC (“Arca Securities”) in its capacity as a facility of NYSE Amex and NYSE is utilized for the routing of any approved types of orders from those exchanges to the Exchange (such function of Arca Securities is referred to as the “Inbound Router”), each of the Exchange and Arca Securities shall undertake as follows:

(A) No change.

(B) The regulatory services agreement described in Rule 7.45[1](c)(1)(A) shall require the Exchange to provide the non-affiliated SRO with information, in an easily accessible manner, regarding all exception reports, alerts, complaints, trading errors, cancellations, investigations, and enforcement matters (collectively “Exceptions”) in which Arca Securities is identified as a participant that has potentially violated Exchange or SEC Rules and of which the Exchange becomes aware, and shall require that the non-affiliated SRO provide a report, at least quarterly, to the Exchange quantifying all Exceptions in which Arca Securities is identified as a participant that has potentially violated Exchange or SEC Rules.

(C) - (D) No change.

(2) No change.

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