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NYSE Arca Equities, Inc.

**SCHEDULE OF FEES AND CHARGES
 FOR EXCHANGE SERVICES**

NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS¹

EXCHANGE TRANSACTIONS

ETP HOLDERS AND MARKET MAKERS²

Round Lots and Odd Lots (Per Share Price \$1.00 or Above)³

<p><u>Tracking Order Tier 1: For ETP Holders and Market Makers that send Tracking Orders to the Exchange resulting in executions with an average daily share volume per month greater than or equal to 5 million shares</u></p>	<p><u>Tape A, Tape B, and Tape C Securities:</u> <u>\$0.0012 per share (credit) for Tracking Orders that result in executions up to and including 15 million shares.</u> <u>\$0.0015 per share (credit) for each Tracking Order that results in executions in excess of 15 million shares.</u></p>
<p><u>Tracking Order Tier 2: For ETP Holders and Market Makers that send Tracking Orders to the</u></p>	<p><u>Tape A, Tape B, and Tape C Securities:</u> <u>\$0.001 per share (credit) for Tracking Orders that result in executions</u></p>

¹ Trade related charges for the NYSE Arca marketplace will not apply to Mid-Point Passive Liquidity Orders (except Mid-Point Passive Liquidity Orders will be eligible for ETP Holder and Market Maker Transaction Credits with respect to Tape A and Tape C Securities). Credits will not be applied to Open Orders (e.g., GTC Orders) executed after the trading date on which they were entered. Trade activity on days when the market closes early does not count toward volume tiers.

² These transaction fees do not apply to: (1) Directed Orders, regardless of account type, that are matched within the Directed Order Process; (2) Directed Orders for the account of a retail public customer that are executed partially or in their entirety via the Directed Order, Display Order, Working Order, and Tracking Order processes (however, any unfilled or residual portion of a retail customer's order that is routed away and executed by another market center or participant will incur this transaction fee); (3) orders executed in the Opening Auction and Market Order Auction; (4) Cross Orders; and (5) participants in the Nasdaq UTP Plan that transmit orders via telephone.

³ Rebates will not be paid for executions in securities priced under \$1.00.

<u>Exchange resulting in executions with an average daily share volume per month between 2.5 million shares and 4,999,999 shares</u>	
<u>Tracking Orders Tier 3: For ETP Holders and Market Makers that send Tracking Orders to the Exchange resulting in executions with an average daily share volume per month between 1 million shares and 2,499,999 shares</u>	<u>Tape A, Tape B, and Tape C Securities:</u> <u>\$0.0005 per share (credit) for Tracking Orders that result in executions</u>

Self Trade Prevention Modifiers (Tape A, Tape B, and Tape C Securities)⁶

STP credits and fees shall be capped at a net total of \$25,000.00 per month.

⁶ The incoming order (last received order) marked with one of the STP Modifiers controls the billing treatment of both interacting orders marked with STP Modifiers.