Rules of NYSE Amex LLC

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Rule 500 - NYSE Amex Equities. Applicability

(a) The Rules of this series (Rules 500 through 525 - NYSE Amex Equities) shall apply to all transactions involving Nasdaq Securities conducted on the Exchange, including the handling of orders, the conduct of accounts, and other matters relating to the trading of Nasdaq Securities on the Exchange. Except to the extent that the provisions of Rules 500 - 525 - NYSE Amex Equities specifically conflict, all other NYSE Amex Equities Rules shall apply to transactions involving Nasdaq Securities conducted on the Exchange. If any provisions of Rules 500 - 525 - NYSE Amex Equities conflict with any other NYSE Amex Equities Rule, the Rule 500 series shall govern. NYSE Amex Disciplinary Rules 475, 476, 476A and 477 shall also apply to all transactions involving Nasdaq Securities conducted on the Exchange.

(b) Rules 500 - 525 - NYSE Amex Equities shall operate as a pilot program. This pilot program will expire on the earlier of a permanent approval of these Rules by the Securities and Exchange Commission or on January 31, 2011 [September 30, 2010].

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