

Additions underscored
Deletions [bracketed]

**NYSE American Equities
Price List**

2021

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Last Updated: [August 2]●, 2021

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Co-Location Fees

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General Notes

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7. Cabinet and Power Purchasing Limits. No change.

a. Cabinet Limits. No change.

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- A User that has purchased cabinets subject to this Cabinet Limit, or any Affiliate of such User, will have to wait 30 days from the date of the User's [its] signed order form before purchasing new cabinets again while such Cabinet Limit remains in effect.

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b. Combined Limits. No change.

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- A User that has purchased Standard Cabinets or additional power subject to these Combined Limits, or any Affiliate of such User, will have to wait 30 days from the date of the User's [its] signed order form before purchasing new Standard Cabinets or additional power again while such Combined Limits remain in effect.

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8. Cabinet and Combined Waitlists.

a. Cabinet Waitlist. No change.

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- A User will be placed on the Cabinet Waitlist based on the date its signed order is received. A User may only have one order for new cabinets on the Cabinet Waitlist at a time, and the order is subject to the Cabinet Limits. If a User changes the size of its order while it is on the Cabinet Waitlist, it will maintain its place on the Cabinet Waitlist, provided that the User may not increase the size of its order such that it would exceed the Cabinet Limits. While a User is on the Cabinet Waitlist, no Affiliate of such User may also be on the Cabinet Waitlist.

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b. Combined Waitlist. No change.

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- A User will be placed on the Combined Waitlist based on the date its signed order for cabinets and/or additional power is received. A User may only have one order for new cabinets and/or additional power on the Combined Waitlist at a time, and the order would be subject to the Combined Limits. If a User changes the size of its order while it is on the Combined Waitlist, it will maintain its place on the Combined Waitlist, provided that the User may not increase the size of its order such that it would exceed the Combined Limits. While a User is on the Combined Waitlist, no Affiliate of such User may also be on the Combined Waitlist.

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NYSE AMERICAN OPTIONS FEE SCHEDULE*

* NYSE American Options is the options trading facility of NYSE American LLC

Effective as of [July 28]●, 2021

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Section V. Technology & System Access Fees

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B. Co-Location Fees.

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General Notes

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7. Cabinet and Power Purchasing Limits. No change.

a. Cabinet Limits. No change.

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- A User that has purchased cabinets subject to this Cabinet Limit, or any Affiliate of such User, will have to wait 30 days from the date of the User's [its] signed order form before purchasing new cabinets again while such Cabinet Limit remains in effect.

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b. Combined Limits. No change.

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- A User that has purchased Standard Cabinets or additional power subject to these Combined Limits, or any Affiliate of such User, will have to wait 30 days from the date of the User's [its] signed order form before purchasing new Standard Cabinets or additional power again while such Combined Limits remain in effect.

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b. Combined Waitlist. No change.

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- A User will be placed on the Combined Waitlist based on the date its signed order for cabinets and/or additional power is received. A User may only have one order for new cabinets and/or additional power on the Combined Waitlist at a time, and the order would be subject to the Combined Limits. If a User changes the size of its order while it is on the Combined Waitlist, it will maintain its place on the Combined Waitlist, provided that the User may not increase the size of its order such that it would exceed the Combined Limits. While a User is on the Combined Waitlist, no Affiliate of such User may also be on the Combined Waitlist.

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