

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of January [4]13, 2021

E. American Customer Engagement (“ACE”) Program. The per contract credits in the table below apply to Electronic options transactions, including those executed via the BOLD Mechanism. The credits are payable to the OFP that submits, as agent, orders to the Exchange, and are payable only on Customer volume.

	ACE Program			Credits Payable On Customer Volume Only				
Tier	Customer Electronic ADV as a % of TCADV	O R	Total Electronic ADV (of which 20% or greater of the minimum qualifying volume for each Tier must be Customer) as a % of TCADV	Customer Volume Credits		1 Year /Balance of the Year Program Enhanced Customer Volume Credits		
				Simple	Complex ¹	Simple	Complex ¹	
Base	<0.40%			N/A	\$0.00	\$0.00	\$0.00	\$0.00
1	0.40% to 0.75%			N/A	(\$0.12)	(\$0.19)	(\$0.13)	(\$0.19)
2	> 0.75% to 1.00%			N/A	(\$0.14)	(\$0.19)	(\$0.15)	(\$0.20)

3	>1.00% to 1.25%		1.50% to 2.50% of which 20% or greater of 1.50% must be Customer	(\$0.17)	(\$0.19)	(\$0.20)	(\$0.20)
4	> 1.25 to 1.75%		> 2.50% to 3.50% of which 20% or greater of 2.50% must be Customer	(\$0.19)	(\$0.20)	(\$0.21)	(\$0.22)
5	>1.75%		>3.50% of which 20% or greater of 3.5% must be Customer	(\$0.21)	(\$0.22)	[(<u>\$0.24</u>)] <u>(\$0.23)</u>	(\$0.24)

1. The credit for Customer Complex Order executions will be provided regardless of whether the Complex Order trades against interest in the Complex Order Book or with individual orders and quotes in the Consolidated Book. An OFP that achieves Tier 4 or Tier 5, and executes more than 0.50% of TCADV in Initiating CUBE Orders in a calendar month, will receive an alternative credit of \$0.25 per contract, per leg on Electronic Customer Complex Order transactions.
