

Additions underscored

Deletions [bracketed]

## NYSE AMERICAN OPTIONS FEE SCHEDULE\*

\*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of October [1]8, 2020

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### Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

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**B. Floor Access Fee.** \$125 per month for all registered Floor personnel that do not pay Monthly ATP Fees. [For July, August and September]Commencing October 1<sup>st</sup> through the earlier of the first full month of a full reopening of the Trading Floor facilities to Floor personnel or December 2020[ only], this fee is waived for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels (or, for firms that began Floor operations after March 2020, are unable to operate at more than 50% of their Exchange-approved on-Floor staffing levels) and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”).

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### E. Floor Broker Incentive and Rebate Program

#### 1. Floor Broker Fixed Cost Prepayment Incentive Program (the “FB Prepay Program”)

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To participate in the FB Prepay Program, Floor Broker organizations must notify the Exchange in writing by emailing optionsbilling@nyse.com, indicating a commitment to submit prepayment for the following calendar year, by no later than the last business day of December in the current year. The email to enroll in the Program must originate from an officer of the Floor Broker organization and, except as provided for below, represents a binding commitment through the end of the

following calendar year. Payment must be received in full by the close of business on the last business day of January. A Floor Broker organization that commits to the Program will be invoiced in January for Eligible Fixed Costs, based on annualizing their Eligible Fixed Costs incurred in the previous November. The Exchange will not issue any refunds in the event that a Floor Broker organization’s prepaid Eligible Fixed Costs exceeds such actual annual costs, except that the Exchange will refund certain of the prepaid Eligible Fixed costs that were waived [for July through September 2020 ]for Qualifying Firms, as defined, and set forth in, Sections III.B and IV. Participating Floor Broker organizations that qualify for the Percentage Growth Incentive will receive their rebate in the following January.

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**Section IV. Monthly Floor Communication, Connectivity, Equipment and Booth or Podia Fees**

These fees are assessed on Trading Floor participants as described in the table below. [For July, August, and September]Commencing October 1<sup>st</sup> through the earlier of the first full month of a full reopening of the Trading Floor facilities to Floor personnel or December 2020[ only], the Exchange will waive certain fees for Floor Broker firms that are unable to operate at more than 50% of their March 2020 on-Floor staffing levels (or, for firms that began Floor operations after March 2020, are unable to operate at more than 50% of their Exchange-approved on-Floor staffing levels) and for Market Maker firms that have vacant or “unmanned” Podia for the entire month due to COVID-19 related considerations (the “Qualifying Firms”). The fees waived for Qualifying Firms include those associated with Floor Broker Handheld, Transport Charges, Floor Market Maker Podia, Booth Premises, and Wire Services as indicated below.

Description	Fees
Floor Broker Handheld	[Waived for Qualifying Firms for July through September 2020] \$450 per device per month
Login	\$215 per month per Exchange sponsored Floor Broker order entry system
Transport Charges	[Waived for Qualifying Firms for July through September 2020] \$150 per month per floor participant connection capped at \$500 per month per Floor Broker firm
Floor Market Maker Podia	[Waived for Qualifying Firms for July through September 2020] \$90 per month for each floor market maker
Booth Premises	[Waived for Qualifying Firms for July through September 2020] \$40 per linear foot per month

Telephone Service - Toll call billed by vendor plus a surcharge	Toll calls \$0.00 to \$0.68 incur a surcharge of \$0.16
	Toll calls of \$0.69 or greater incur a surcharge of \$0.26
Cellular Phones	\$20 per month plus the cost of the calling plan of the user's choice
Booth Telephone System - Line Charge	\$33.33 per phone number per month
Booth Telephone System - Single line phone jack and data jack	\$10.75 per month
Wire Services	[Waived for Qualifying Firms for July through September 2020] Pass-through of fees from vendor
Turrets	Contracted directly with the vendor
Market Data	Contracted directly with the vendor
Telecom Move/Add/Change Fee	Pass-through of fees from vendor

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