

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE*

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of June [1]5, 2020

Section I. Options Transaction Fees and Credits⁷

F. QCC Fees & Credits. The table below describes the per contract fees and credits applicable to volume executed as part of a QCC trade.

Participant	Per Contract Fee or Credit
Customer and Professional Customer	\$0.00
Non-Customer excluding Specialists and e-Specialists	\$0.20
Specialists and e-Specialists	\$0.13
Floor Brokers executing 300,000 or fewer contracts in a month ¹	(\$0.07)
Floor Brokers executing more than 300,000 contracts in a month ¹	(\$0.10)

¹ Floor Brokers will not receive a credit for QCC trades that have a Customer or Professional Customer, or both, on both sides of the trade. For example, a Floor Broker executing as a QCC trade an order from a Customer buying 1,000 ABC Dec 40 Calls and an order from a Professional Customer selling 1,000 ABC Dec 40 Calls at \$2.00 will not be eligible for the Floor Broker credits. The Floor Broker credit will not apply to any QCC trades that are included in the Strategy Cap during the months of April, [and] May and June 2020 (per Section I.J.). The Floor Broker credit is paid only on volume within the applicable tier and is not retroactive to the first contract traded. The maximum Floor Broker credit paid shall not exceed \$425,000 per month per Floor

Broker firm (the “Cap”), except that for the months of April, [and] May and June 2020, the Cap would be \$625,000 per Floor Broker firm.

J. Strategy Execution Fee Cap. There is a \$1,000 cap on transaction fees for options Strategy Executions involving (a) reversals and conversions, (b) box spreads, (c) short stock interest spreads, (d) merger spreads, and (e) jelly rolls, which are described below. The cap applies to all Strategy Executions on the same trading day. All Royalty Fees, described in Section I.K., associated with Strategy Executions on Index and ETFs will be passed through to trading participants on the Strategy Executions on a pro-rata basis and will not be included in the calculation of the \$1,000 per trade cap. Manual Broker-Dealer and Firm Strategy trades that do not reach the \$1,000 cap will be billed the rate specified in Section 1.A. for Manual transactions. Any qualifying Strategy Execution executed as a QCC order will not be eligible for this fee cap, except that for the months of April, [and] May and June 2020 a reversal and conversion strategy executed as a QCC order will be eligible for this fee cap.

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

B. Floor Access Fee. \$125 per month for all registered Floor personnel that do not pay Monthly ATP Fees. This fee is waived for the months of April, [and] May and June 2020.

E. Floor Broker Fixed Cost Prepayment Incentive Program (the “FB Prepay Program”)

To participate in the FB Prepay Program, Floor Broker organizations must notify the Exchange in writing by emailing optionsbilling@nyse.com, indicating a commitment to submit prepayment for the following calendar year, by no later than the last business day of December in the current year. The email to enroll in the Program must originate from an officer of the Floor Broker organization and, except as provided for below, represents a binding commitment through the end of the following calendar year. Payment must be received in full by the close of business on the last business day of January. A Floor Broker organization that commits to the Program will be invoiced in January for Eligible Fixed Costs, based on annualizing their Eligible Fixed Costs incurred in the previous November. The Exchange will not issue any refunds in the event that a Floor Broker organization’s prepaid Eligible Fixed Costs exceeds such actual annual costs, except that the Exchange will

refund certain of the prepaid Eligible Fixed costs that were waived for April, [and] May and June 2020, per Sections III.B and IV. Participating Floor Broker organizations that qualify for the Percentage Growth Incentive will receive their rebate in the following January.

Section IV. Monthly Floor Communication, Connectivity, Equipment and Booth or Podia Fees

These fees are assessed on Trading Floor participants as described in the table below. For April [2020 and], May and June 2020 only, the Exchange will waive fees associated with Floor Broker Handheld, Transport Charges, Floor Market Maker Podia, Booth Premises, and Wire Services as indicated below.

Description	Fees
Floor Broker Handheld	Waived for the months of April, [and] May <u>and June</u> 2020 \$450 per device per month
Login	\$215 per month per Exchange sponsored Floor Broker order entry system
Transport Charges	Waived for the months of April, [and] May <u>and June</u> 2020 \$150 per month per floor participant connection capped at \$500 per month per Floor Broker firm
Floor Market Maker Podia	Waived for the months of April, [and] May <u>and June</u> 2020 \$90 per month for each floor market maker
Booth Premises	Waived for the months of April, [and] May <u>and June</u> 2020 \$40 per linear foot per month
Telephone Service - Toll call billed by vendor plus a surcharge	Toll calls \$0.00 to \$0.68 incur a surcharge of \$0.16 Toll calls of \$0.69 or greater incur a surcharge of \$0.26
Cellular Phones	\$20 per month plus the cost of the calling plan of the user's choice
Booth Telephone System - Line Charge	\$33.33 per phone number per month
Booth Telephone System - Single line phone jack and data jack	\$10.75 per month
Wire Services	Waived for the months of April, [and] May <u>and June</u> 2020 Pass-through of fees from vendor
Turrets	Contracted directly with the vendor
Market Data	Contracted directly with the vendor
Telecom Move/Add/Change Fee	Pass-through of fees from vendor
