

Additions underscored

Deletions [bracketed]

### NYSE AMERICAN OPTIONS FEE SCHEDULE\*

\*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of [December 26, 2019]January 2, 2020

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### Section I. Options Transaction Fees and Credits<sup>7</sup>

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**C. NYSE American Options Market Maker Sliding Scale – Electronic.** NYSE American Options Market Makers are eligible for reduced per contract rates for Electronic options transactions, including those executed via the BOLD Mechanism, as shown in the table below. The rates shown are applicable to monthly volume within a given tier such that the lower per contract rate applies to volume within that higher tier. In calculating Market Maker Electronic monthly volumes, the Exchange will exclude any volumes attributable to QCC trades, CUBE Auctions, or Strategy Execution Fee Caps as these transactions are subject to separate pricing described in Sections I.F., I.G. and I.J., respectively.

Tier	Market Maker Electronic ADV as a % of TCADV	Rate per Contract for Non-Take Volume <sup>1</sup>	Rate per Contract for Take Volume <sup>1</sup>	Prepayment Program Participant Rates	
				Rate per Contract for Non-Take Volume <sup>1</sup>	Rate per Contract for Take Volume <sup>1</sup>
1	0.00% to 0.20%	\$0.25	\$0.25	\$0.22	\$0.24

2	> 0.20% to 0.65%	\$0.22	\$0.24	[\$0.17] <u>\$0.18</u>	[\$0.20] <u>\$0.22</u>
3	> 0.65% to 1.40%	\$0.12	\$0.17	[\$0.08] <u>\$0.09</u>	[\$0.11] <u>\$0.13</u>
4	> 1.40% to 2.00%	\$0.09	\$0.14	[\$0.05] <u>\$0.06</u>	[\$0.08] <u>\$0.10</u>
5	> 2.00%	\$0.06	\$0.09	\$0.03	\$0.06

- <sup>1</sup>. For the purposes of the Sliding Scale transaction charges, all eligible volume that does not remove liquidity will be considered “non-take volume”; whereas all volume that removes liquidity will be considered “take volume.”

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