Additions <u>underlined</u> Deletions [bracketed]

## **NYSE AMERICAN RULES**

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**Trading of Option Contacts** 

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Section 900NY. Rules Principally Applicable to Trading of Option Contracts

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Rule 928NY. Risk Limitation Mechanism

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Commentary:

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.03 The Exchange will specify via Trader Update any applicable minimum, maximum and/or default settings for the Risk Limitation Mechanisms; provided, however, that the Exchange will not (i) specify a minimum setting of less than one[three] or a maximum setting of more than 2,000 for the Transaction-Based Risk Limitation Mechanism; (ii) specify a minimum setting of less than one[20] or a maximum setting of more than 500,000 for the Volume-Based Risk Limitation Mechanism; (iii) specify a minimum setting of less than one[1] or a maximum setting of more than 200,000 for the Percentage-Based Risk Limitation Mechanism or (iv) specify a minimum setting of less than one or a maximum of more than 100 for the number of triggers of the Risk Limitation Mechanisms, pursuant to paragraph (f) of Rule 928NY. The Exchange will also specify via Trader Update any applicable time period(s) for the Risk Limitation Mechanisms; provided, however, that the Exchange will not specify a time period of less than 100 milliseconds.

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