RULE 7E EQUITIES TRADING

Section 3. Exchange Trading

Rule 7.37E. Order Execution and Routing

(b) Routing. Unless an order has an instruction not to route, after being matched for execution with any contra-side orders in the Exchange Book pursuant to paragraph (a) of this Rule, marketable orders will be routed to Away Market(s).

(7) Requests to cancel an order that has been routed will be processed as follows:

(C) For MOC Orders or LOC Orders in NYSE-listed securities, requests to cancel or reduce in size that are electronically entered after the “Closing Auction Imbalance Freeze Time” specified in NYSE Rule 7.35(a)(7)[the times specified in NYSE Rule 123C(3)(b) and Supplementary Material .40 to that rule] will be rejected.