

Additions underscored

Deletions [bracketed]

NYSE AMERICAN OPTIONS FEE SCHEDULE *

*NYSE American Options is the options trading facility of NYSE American LLC

Effective as of June [3]12, 2019

Section III. Monthly Trading Permit, Rights, Floor Access and Premium Product Fees

- A. Monthly ATP Fees.** ATP fees are charged based on the maximum number of ATPs held during the month, according to the table shown below. The “Bottom 45%” refers to the least actively traded issues on the Exchange, ranked by industry volume, as reported by the OCC for each issue during the calendar quarter. Each calendar quarter, with a one-month lag, the Exchange will publish on its website a list of the Bottom 45% of issues traded. Any newly listed issues will automatically become part of the Bottom 45% until the next evaluation period, at which time they may or may not remain part of the Bottom 45% list depending upon their trading volumes and resultant rank among all issues traded on the Exchange.

ATP Type	Monthly Fee Per ATP ²	Number Of Issues Permitted In A Market Makers Quoting Assignment
Floor Broker	\$500	N/A
Order Flow Provider	\$1,000	N/A
Clearing Member	\$1,000	N/A
NYSE American Options Market Maker 1st ATP	\$8,000	60 plus the Bottom 45%
NYSE American Options Market Maker 2nd ATP	\$6,000	150 plus the Bottom 45%
NYSE American Options Market Maker 3rd ATP	\$5,000	500 plus the Bottom 45%
NYSE American Options Market Maker 4th ATP	\$4,000	1,100 plus the Bottom 45%
NYSE American Options Market Maker 5th ATP	\$3,000	All issues traded on the Exchange
NYSE American Options Market Maker 6th to 9 th ATP	\$2,000	All issues traded on the Exchange

NYSE American Options Market Maker 10 th or more ATPs	\$500	All issues traded on the Exchange
NYSE American Options Floor Market Maker 1st ATP ¹	\$5,000	60 plus the Bottom 45%
NYSE American Options Floor Market Maker 2nd ATP ¹	\$5,000	150 plus the Bottom 45%
Reserve Floor Market Maker ATP	\$175	N/A

1. An NYSE American Options Floor Market Maker ATP is a Floor Market Maker that purchases no more than two ATPs per month and transacts at least 75% of its volume, excluding QCC and Strategy Executions, as Manual trades in open outcry on the Trading Floor.
2. An ATP Holder that is not currently an NYSE American Options Market Maker (“Market Maker”) and enrolls to operate as an Market Maker on the Exchange may be entitled to introductory pricing on its ATP Fees for up to six months, beginning the first month in which it registers (each a “Newly Enrolled MM”). For the first three months (i.e., months 1-3), the Exchange will waive the ATP fees for a Newly Enrolled MM. For latter three months (i.e., months 4-6), the Exchange will discount such ATP fees by 50%, unless the Newly Enrolled MM achieves a monthly ADV equal to at least 0.05% of TCADV, at which time the Exchange would charge the Newly Enrolled MM 100% of its ATP Fees for the remaining months, regardless of the Newly Enrolled MM’s monthly ADV in subsequent months. An ATP Holder may qualify for this introductory pricing only once in a 24-month period, which period begins in the first month the ATP Holder registers on the Exchange.

D. NYSE American Options Market Maker Monthly Premium Product Fee.¹ The Exchange assesses a monthly fee to any NYSE American Options Market Maker, other than a Market Maker that qualifies as an NYSE American Options Floor Market Maker as described in note 1 to Section III.A., transacting in any Premium Products in the Table below during any given month. The maximum monthly Premium Product Fees for any single NYSE American Options Market Maker firm is \$7,000 per month.

The Premium Product Fees are shown in the table below.

Premium Product Symbol	Monthly Premium Product Fee
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SPY	\$1,000
AAPL	\$1,000
IWM	\$1,000
QQQ	\$1,000
BABA	\$1,000
BAC	\$1,000
EEM	\$1,000
FB	\$1,000
USO	\$1,000
VXX	\$1,000

¹. An ATP Holder that is not currently an NYSE American Options Market Maker (“Market Maker”) and enrolls to operate as a Market Maker on the Exchange may be entitled to introductory pricing on its Premium Products Fees for up to six months, beginning the first month in which it registers (each a “Newly Enrolled MM”). For the first three months (i.e., months 1-3), the Exchange will waive the Premium Product Fees for a Newly Enrolled MM. For latter three months (i.e., months 4-6), the Exchange will discount such Premium Product Fees by 50%, unless the Newly Enrolled MM achieves a monthly ADV equal to at least 0.05% of TCADV, at which time the Exchange would charge the Newly Enrolled MM 100% of its Premium Product Fees for the remaining months, regardless of the Newly Enrolled MM’s monthly ADV in subsequent months. An ATP Holder may qualify for this introductory pricing only once in a 24-month period, which period begins in the first month the ATP Holder registers on the Exchange.
