Rules of NYSE American LLC

 Equities Rules

Cash Equities Pillar Platform Rules (Rules 1E—13E)

RULE 7E EQUITIES TRADING

Section 1. General Provisions

Rule 7.11E. Limit Up—Limit Down Plan and Trading Pauses in Individual Securities Due to Extraordinary Market Volatility

[The provisions of this Rule shall be in effect during a pilot to coincide with the pilot period for the Regulation NMS Plan to Address Extraordinary Market Volatility.]

(a) Limit Up-Limit Down Mechanism.