Rules of NYSE American LLC

Equities Rules

Cash Equities Pillar Platform Rules (Rules 1E—13E)

RULE 7E EQUITIES TRADING

Section 1. General Provisions

Rule 7.10E. Clearly Erroneous Executions

The provisions of paragraphs (c), (e)(2), (f), and (g) of this Rule, as amended on September 10, 2010, and the provisions of paragraphs (i) through (k), will be in effect during a pilot period [to coincide with the pilot period for the Limit Up-Limit Down Plan, including any extensions to the pilot period for the Plan] that expires at the close of business on October 18, 2019. If the pilot period is not either extended or approved as permanent, the prior versions of sections (c), (e)(2), (f), and (g) will be in effect, and the provisions of paragraphs (i) through (k) will be null and void.